

# CS 294-5: Statistical Natural Language Processing



## POS Tagging and HMMs Lecture 7: 9/21/05

# Parts-of-Speech

- Syntactic classes of words
  - Useful distinctions vary from language to language
  - Tagsets vary from corpus to corpus [See M+S p. 142]
- Some tags from the Penn tagset

CD	numeral, cardinal	mid-1890 nine-thirty 0.5 one
DT	determiner	a all an every no that the
IN	preposition or conjunction, subordinating	among whether out on by if
JJ	adjective or numeral, ordinal	third ill-mannered regrettable
MD	modal auxiliary	can may might will would
NN	noun, common, singular or mass	cabbage thermostat investment subhumanity
NNP	noun, proper, singular	Motown Cougar Yvette Liverpool
PRP	pronoun, personal	hers himself it we them
RB	adverb	occasionally maddeningly adventurously
RP	particle	aboard away back by on open through
VB	verb, base form	ask bring fire see take
VBD	verb, past tense	pleaded swiped registered saw
VBN	verb, past participle	dilapidated imitated reunited unsettled
VBP	verb, present tense, not 3rd person singular	twist appear comprise mold postpone

CC	conjunction, coordinating	and both but either or
CD	numeral, cardinal	mid-1890 nine-thirty 0.5 one
DT	determiner	a all an every no that the
EX	existential there	there
FW	foreign word	gemeinschaft hund ich jeux
IN	preposition or conjunction, subordinating	among whether out on by if
JJ	adjective or numeral, ordinal	third ill-mannered regrettable
JR	adjective, comparative	braver cheaper taller
JS	adjective, superlative	bravest cheapest tallest
MD	modal auxiliary	can may might will would
NN	noun, common, singular or mass	cabbage thermostat investment subhumanity
NNP	noun, proper, singular	Motown Cougar Yvette Liverpool
NNS	noun, proper, plural	Americans Materials States
NNS	noun, common, plural	undergraduates bit-a-brac averages
POS	possessive marker	's
PRP	pronoun, personal	hers himself it we them
PRPS	pronoun, possessive	her his mine my our ours their thy your
RB	adverb	occasionally maddeningly adventurously
RBR	adverb, comparative	further glomier heavier less-perfectly
RBS	adverb, superlative	best biggest nearest worst
RP	particle	aboard away back by on open through
TO	"to" as preposition or infinitive marker	to
UH	interjection	huh howdy uh whammo shucks heck
VB	verb, base form	ask bring fire see take
VBD	verb, past tense	pleaded swiped registered saw
VBG	verb, present participle or gerund	striving focusing approaching erasing
VBN	verb, past participle	dilapidated imitated reunited unsettled
VBP	verb, present tense, not 3rd person singular	twist appear comprise mold postpone
VBZ	verb, present tense, 3rd person singular	bases reconstructs marks uses
WDT	WH-determiner	that what whatever which whichever
WP	WH-pronoun	that what whatever which who whom
WPS	WH-pronoun, possessive	whose
WRB	WH-adverb	however whenever where why

# Part-of-Speech Ambiguity

- Example

VBD VB  
VBN VBZ VBP VBZ  
NNP NNS NN NNS CD NN  
Fed raises interest rates 0.5 percent

- Two basic sources of constraint:
  - Grammatical environment
  - Identity of the current word
- Many more possible features:
  - ... but we won't be able to use them until next class

# Why POS Tagging?

- Useful in and of itself
  - Text-to-speech: record, lead
  - Lemmatization: saw[v] → see, saw[n] → saw
  - Quick-and-dirty NP-chunk detection: grep {JJ | NN}\* (NN | NNS)
- Useful as a pre-processing step for parsing
  - Less tag ambiguity means fewer parses
  - However, some tag choices are better decided by parsers!

DT NNP NN VBD VBN RP NN NNS  
The Georgia branch had taken on loan commitments ...

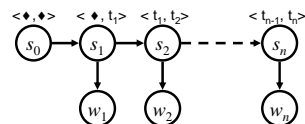
DT NN IN NN VBD NNS VBD  
The average of interbank offered rates plummeted ...

# HMMs

- We want a generative model over sequences  $t$  and observations  $w$  using states  $s$

$$P(T, W) = \prod_i P(t_i | t_{i-1}, t_{i-2}) P(w_i | t_i)$$

$$P(T, W) = \prod_i P(s_i | s_{i-1}) P(w_i | s_i)$$



- Assumptions:
  - Tag sequence is generated by an order  $n$  markov model
  - This corresponds to a 1<sup>st</sup> order model over tag  $n$ -grams
  - Words are chosen independently, conditioned only on the tag
  - These are totally broken assumptions: why?

## Parameter Estimation

- Need two multinomials
  - Transitions:  $P(t_i | t_{i-1}, t_{i-2})$
  - Emissions:  $P(w_i | t_i)$
- Can get these off a collection of tagged sentences

## Practical Issues with Estimation

- Use standard smoothing methods to estimate transition scores, e.g.:

$$P(t_i | t_{i-1}, t_{i-2}) = \lambda_2 \hat{P}(t_i | t_{i-1}, t_{i-2}) + \lambda_1 \hat{P}(t_i | t_{i-1})$$

- Emissions are trickier
  - Words we've never seen before
  - Words which occur with tags we've never seen
  - One option: break out the Good-Turning smoothing
  - Issue: words aren't black boxes:
    - 343,127.23    11-year    Minteria    reintroducible
  - Another option: decompose words into features and use a maxent model along with Bayes' rule.

$$P(w | t) = P_{MAXENT}(t | w)P(w) / P(t)$$

## Disambiguation

- Given these two multinomials, we can score any word / tag sequence pair

$\langle \bullet, \bullet \rangle$      $\langle \bullet, \text{NNP} \rangle$      $\langle \text{NNP}, \text{VBZ} \rangle$      $\langle \text{VBZ}, \text{NN} \rangle$      $\langle \text{NN}, \text{NNS} \rangle$      $\langle \text{NNS}, \text{CD} \rangle$      $\langle \text{CD}, \text{NN} \rangle$      $\langle \text{STOP} \rangle$   
 NNP    VBZ    NN    NNS    CD    NN    .  
 Fed    raises    interest    rates    0.5    percent    .

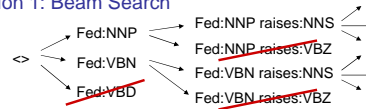
$P(\text{NNP} | \langle \bullet, \bullet \rangle)$      $P(\text{Fed} | \text{NNP})$      $P(\text{VBZ} | \langle \text{NNP}, \bullet \rangle)$      $P(\text{raises} | \text{VBZ})$      $P(\text{NN} | \text{VBZ}, \text{NNP})$  .....

- In principle, we're done – list all possible tag sequences, score each one, pick the best one (the Viterbi state sequence)

NNP VBZ NN NNS CD NN  $\Rightarrow$  logP = -23  
 NNP NNS NN NNS CD NN  $\Rightarrow$  logP = -29  
 NNP VBZ VB NNS CD NN  $\Rightarrow$  logP = -27

## Finding the Best Trajectory

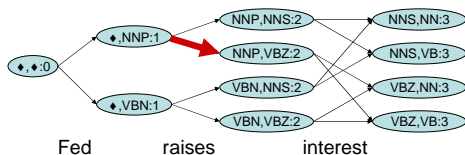
- Too many trajectories (state sequences) to list
- Option 1: Beam Search



- A beam is a set of partial hypotheses
- Start with just the single empty trajectory
- At each derivation step:
  - Consider all continuations of previous hypotheses
  - Discard most, keep top k, or those within a factor of the best, (or some combination)
- Beam search works relatively well in practice
  - ... but sometimes you want the optimal answer
  - ... and you need optimal answers to validate your beam search

## The Path Trellis

- Represent paths as a trellis over states



- Each arc  $(s_i, i \rightarrow s_{i+1}, i+1)$  is weighted with the combined cost of:
  - Transitioning from  $s_i$  to  $s_{i+1}$  (which involves some unique tag  $t$ )
  - Emitting word  $w$  given  $t$      $P(\text{VBZ} | \text{NNP}, \bullet) P(\text{raises} | \text{VBZ})$
- Each state path (trajectory):
  - Corresponds to a derivation of the word and tag sequence pair
  - Corresponds to a unique sequence of part-of-speech tags
  - Has a probability given by multiplying the arc weights in the path

## The Viterbi Algorithm

- Dynamic program for computing

$$\delta_i(s) = \max_{s_0 \dots s_{i-1}} P(s_0 \dots s_{i-1} s, w_1 \dots w_i)$$

- The score of a best path up to position  $i$  ending in state  $s$

$$\delta_0(s) = \begin{cases} 1 & \text{if } s = \langle \bullet, \bullet \rangle \\ 0 & \text{otherwise} \end{cases}$$

$$\delta_i(s) = \max_{s'} P(s | s') P(w | s) \delta_{i-1}(s')$$

- Also store a backtrace

$$\psi_i(s) = \arg \max_{s'} P(s | s') P(w | s) \delta_{i-1}(s')$$

- Memoized solution
- Iterative solution

## So How Does It Work?

- Choose the most common tag
  - 90.3% with a bad unknown word model
  - 93.7% with a good one!

- TnT (Brants, 2000):

- A carefully smoothed trigram tagger
- 96.7% on WSJ text (SOA is ~97.2%)

- Noise in the data

- Many errors in the training and test corpora

DT NN IN NN VBD NNS VBD  
The average of interbank offered rates plummeted ...

- Probably about 2% guaranteed error from noise (on this data)

JJ JJ NN  
chief executive officer

NN JJ NN  
chief executive officer

JJ NN NN  
chief executive officer

NN NN NN  
chief executive officer

## What's Next for POS Tagging

- Better features!

PRP VBD RB  
They left as soon as he arrived .

- We could fix this with a feature that looked at the next word

JJ  
NNP NNS VBD VBN  
Intrinsic flaws remained undetected .

- We could fix this by linking capitalized words to their lowercase versions

- Solution: maximum entropy sequence models (next class)

- Reality check:

- Taggers are already pretty good on WSJ journal text...
- What the world needs is taggers that work on other text!

## HMMs as Language Models

- We have a generative model of tagged sentences:

$$P(T, W) = \prod_i P(t_i | t_{i-1}, t_{i-2}) P(w_i | t_i)$$

- We can turn this into a distribution over sentences by summing over the tag sequences:

$$P(W) = \sum_T \prod_i P(t_i | t_{i-1}, t_{i-2}) P(w_i | t_i)$$

- Problem: too many sequences!
- (And beam search isn't going to help this time)

## Summing over Paths

- Just like Viterbi, but with sum instead of max

$$\delta_i(s) = \max_{s_0 \dots s_{i-1}} P(s_0 \dots s_{i-1} s, w_1 \dots w_i)$$

$$\alpha_i(s) = \sum_{s_0 \dots s_{i-1}} P(s_0 \dots s_{i-1} s, w_1 \dots w_i)$$

- Recursive decomposition

$$\alpha_0(s) = \begin{cases} 1 & \text{if } s = \langle \bullet, \bullet \rangle \\ 0 & \text{otherwise} \end{cases}$$

$$\alpha_i(s) = \sum_{s'} P(s | s') P(w | s) \alpha_{i-1}(s')$$

## The Forward-Backward Algorithm

$$\alpha_i(s) = \sum_{s_0 \dots s_{i-1}} P(s_0 \dots s_{i-1} s, w_1 \dots w_i)$$

$$\beta_i(s) = \sum_{s_{i+1} \dots s_n} P(s_{i+1} \dots s_n, w_{i+1} \dots w_n | s)$$

## What Does This Buy Us?

- Why do we want forward and backward probabilities?

- Lets us ask more questions
- Like: what fraction of sequences contain tag t at position i

$$\gamma_i(s, s') = \alpha_{i-1}(s) P(s' | s) P(w_i | s') \beta_i(s')$$

$$P(t_i = t | w_1 \dots w_n) = \frac{\sum_{s \rightarrow s' \text{ tag}(s')=t} \gamma_i(s, s')}{\sum_{s \rightarrow s'} \gamma_i(s, s')}$$

- Max-tag decoding:

- Pick the tag at each point which has highest expectation
- Raises accuracy a tiny bit
- Bad idea in practice (why?)

- Also: Unsupervised learning of HMMs

- At least in theory, more later...

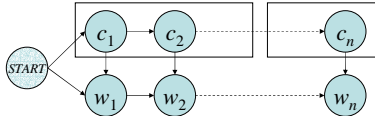
## How's the HMM as a LM?

- POS tagging HMMs are terrible as LMs!

I bought an ice cream \_\_\_\_

The computer that I set up yesterday just \_\_\_\_

- Don't capture long-distance effects like a parser could
- Don't capture local collocational effects like n-grams
- But other HMM-based LMs can work very well



## Next Time

- Better Tagging Features using Maxent
  - Dealing with unknown words
  - Adjacent words
  - Longer distance features
- Named-Entity Recognition
- Reading: M+S 9-10, J+M 7.1-7.4