

# FUNDAMENTAL PERFORMANCE LIMITS OF WIRELESS SENSOR NETWORKS

ZHIHUA HU, BAOCHUN LI\*

**Abstract.** Understanding the fundamental performance limits of wireless sensor networks is critical towards their appropriate deployment strategies. Both the data transmission rate and the lifetime of sensor networks can be constrained, due to interference among the transmissions and the limited energy source of the sensor. In addition to presenting the general results with respect to the maximum sustainable throughput of wireless sensor networks, this chapter focuses on the discussion of the energy-constrained fundamental limits with respect to the network throughput and lifetime. With an adequate definition of operational lifetimes, our asymptotic analysis shows that, with fixed node densities, operational lifetime of sensor networks decreases in the order of  $1/n$  as the number of initially deployed nodes  $n$  grows. Even with renewable energy sources on each of the sensors (e.g., solar energy sources), our analysis concludes that the maximum sustainable throughput in energy-constrained sensor networks scales worse than the capacity based on interference among concurrent transmissions as long as the physical network size grows with  $n$  in the order greater than  $\log n$ . In this case, when the number of nodes is sufficiently high, the energy-constrained network capacity dominates.

**Key words.** Wireless sensor networks, network capacity, network lifetime.

**1. Introduction.** When compared to other categories of wireless networks, wireless sensor networks possess two fundamental characteristics: multi-hop transmission and constrained energy sources. First, since sensor nodes have limited transmission ranges and organize themselves in an ad hoc fashion, two wireless sensor nodes that can not reach each other directly rely on other sensor nodes to relay data between them. In general, data packets from the source node need to traverse *multiple hops* before they reach the destination. Second, since sensors are usually small and inexpensive, they are assumed to have constrained energy sources, and any protocols to be deployed in sensor networks need to be aware of energy usage. These two characteristics have important implications to the fundamental performance limits of wireless sensor networks.

With respect to the performance of wireless sensor networks, the data transmission capacity and the lifetime of the sensor networks are critical and influential towards the design of optimal deployment strategies of these sensor networks. The fundamental limits of these two critical performance parameters lead to a few interesting open problems. First, *what is the maximum sustainable throughput of the network?* Second, *what is the maximum lifetime of the network?* These questions are usually considered given a set of parameters of the sensor network, and under the assumption that optimal network management is achievable. The set of parameters of the sensor network under consideration includes the number of sensor nodes in the network, as well as the area occupied by the sensor network. Issues relevant to network management usually includes packet routing, power management, and topology control.

The answers to the questions previously asked are of great importance to both theoretical and practical aspects of wireless sensor networking research. First, studies on the asymptotic behavior of network throughput and lifetime with respect to the network size and area provide insights pertinent to the *network scalability* and feasibility of deploying large-scale wireless sensor networks. Second, the results with respect to the maximum network throughput and lifetime offers important guidance to research on the network management

---

\*Zhihua Hu and Baochun Li are affiliated with the Department of Electrical and Computer Engineering, University of Toronto. Their email addresses are `{frank, bli}@iqua.ece.toronto.edu`.

issues such as topology control and routing, especially when it comes to the performance evaluation of proposed protocols.

This chapter discusses the problems and solutions on the important topic of fundamental performance limits of wireless sensor networks, especially with respect to sustainable network throughput and lifetime. In addition to presenting the general results with respect to the maximum sustainable throughput of wireless sensor networks, we concentrate our discussion on the energy-constrained fundamental limits on network throughput and lifetime.

The remainder of this chapter is structured as follows. Sec. 2 gives a brief introduction to the general notion of wireless sensor networks, especially in the context of the subsequent discussions. Sec. 3 discusses interference-constrained capacity of wireless sensor networks. Sec. 4 to Sec. 11 introduce and discuss open problems on energy-constrained performance limits of sensor networks. Finally, Sec. 12 concludes the chapter.

**2. Wireless Sensor Networks.** A *wireless sensor network* consists of a large number of sensors [8], each of which are physically small devices, and are equipped with the capability of sensing the physical environment, data processing, and communicating wirelessly with other sensors. Generally, we assume that each sensor in a wireless sensor network has certain constraints with respect to its energy source, power, memory, and computational capabilities.

The communication paradigm of wireless sensor networks has its root in *wireless ad hoc networks*, where network nodes self-organize in an ad hoc fashion, usually on a temporary basis. In a wireless ad hoc network, a group of wireless nodes spontaneously form a network without any fixed and centralized infrastructure. When two nodes wish to communicate, intermediate nodes are called upon to forward packets and to form a multi-hop wireless route. Due to possibilities of node mobility, the topology is dynamic and routing protocols [1, 2, 3] are proposed to search for end-to-end paths. The network nodes rely on peers for all or most of the services needed and for basic needs of communications. Due to the lack of centralized control and management, nodes rely on fully distributed and self-organizing protocols to coordinate their activities. In both scenarios, distributed protocols need to accommodate dynamic changes at any given time: (1) a node may join or leave the network arbitrarily; (2) links may be broken; and (3) nodes may be powered down as a result of node failures or intentional user actions. Fig. 2.1 illustrates a wireless ad hoc network formed by the mobile nodes. As shown in the figure, each network node has a finite transmission range represented by the dotted loop around the node. The arrows represent the network topology resulted from the transmission ranges.

With respect to the characteristics previously discussed, wireless sensor networks (or *sensor networks* for simplicity) are very similar to wireless ad hoc networks, as sensors act as network nodes. Fig. 2.2 illustrates a wireless sensor network. As shown in the figure, each sensor can only reach its neighboring sensors directly. Intermediate sensors may relay the messages when source sensors ( $s_1$  and  $s_2$ ) and destination sensors ( $r_1$  and  $r_2$ ) are far away from one another.

Notwithstanding many similarities between wireless sensor networks and wireless ad hoc networks, sensor networks have its own unique characteristics [8]. First, the number of the nodes in a sensor network is significantly larger than that in a typical wireless ad hoc network. The difference can be of several orders of magnitude. Second, sensors are usually low-cost devices with severe constraints with respect to energy source, power, computation capabilities and memory. Third, sensors are usually densely deployed. Fourth, the probability of sensor failure is much higher. Finally, the sensors are usually stationary rather than

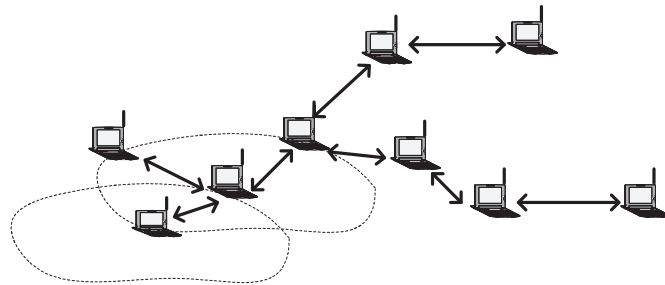


FIG. 2.1. The communication paradigm of wireless sensor networks has its root in wireless ad hoc networks. Each network node in a wireless ad hoc network has a finite transmission range, represented by the dotted loop around the node. The arrows represent the network topology resulted from the transmission ranges.

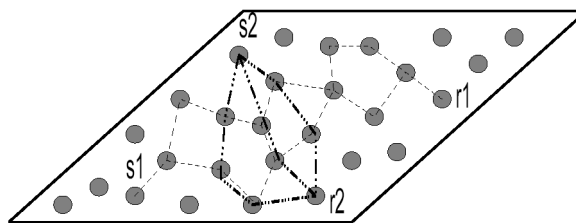


FIG. 2.2. A wireless sensor network. Each sensor can only reach its neighboring sensors directly. The intermediate sensors may relay messages when source sensors ( $s1$  and  $s2$ ) and destination sensors ( $r1$  and  $r2$ ) are far away from one another.

constantly moving. However, the topology of sensor networks can still change frequently due to node failure.

It is important to understand the similarities and differences between wireless ad hoc networks and sensor networks. A significant body of research work has been undertaken in the field of wireless ad hoc networks. On one hand, understanding the similarities between ad hoc and sensor networks makes it straightforward to effectively apply existing research results in wireless ad hoc networks to the field of sensor networks. On the other hand, understanding the differences between the two types of networks leads to insights on new research problems in sensor networks.

Much effort have been devoted to the problems of topology control, power management and optimal routing in wireless ad hoc and sensor networks. For example, [10] studied topology control, [7, 9] studied energy-aware routing in wireless ad hoc networks, [17] studied minimum energy cost problems for broadcast and multicast, and [5, 6] studied energy management in wireless sensor networks. More recently, [14] presented a competitive and efficient algorithm for the routing of messages in energy-constrained ad-hoc networks. As discussed in the introduction, the study on fundamental performance limits can offer im-

portant guidance to the research on the aforementioned network management issues.

### 3. Interference-Constrained Capacity.

**3.1. Network Throughput and Fundamental Constraints.** Much progress has been made towards understanding the network throughput of wireless ad hoc networks. The performance limit of the network throughput is defined as the *maximum stable throughput* (MST) of the network [12]. The maximum stable throughput is the maximum amount of traffic per unit time (usually measured in bits/sec) that can be injected into the network from all the sources while the size of the queue at any network node is bounded. Usually, it is assumed that all nodes generate equal amount of network traffic. In this case, the maximum stable throughput *per node* can be similarly defined.

In most of the literature on performance limits with respect to network throughput, the term *capacity* is used to refer to the maximum network throughput achievable. We will follow this convention in this chapter when it is appropriate. The works reviewed in this section concentrate on the interference-constrained capacity of the network. The results on the energy-constrained capacity will be discussed in the remainder of this chapter, along with a comparison between interference-constrained capacity and energy-constrained capacity.

The interference-constrained capacity is caused by two factors. First, when more than one nearby network nodes transmit at the same time, the signals at the receiving node can be corrupted. Therefore, some nodes in the network may not be able to transmit at the same time. Consequently, the network throughput is reduced. The aforementioned phenomenon is referred to as the *spatial concurrency constraint* by Gupta and Kumar [19]. Second, in multihop wireless networks such as wireless ad hoc and sensor networks, the amount of network traffic produced by each transmitting node is proportional to the number of hops taken from the source node to the destination node. The reason is that every intermediate relay node must retransmit the same data in order to forward the data to the next relay node. This phenomenon is referred to as the *multihop traffic constraint*.

**3.2. Transport Capacity.** In their pioneer work on the capacity of wireless ad hoc networks, Gupta and Kumar [19] concluded that per-node throughput diminishes with the increasing number of nodes in the network. The results of Gupta and Kumar are built upon two transmission models<sup>1</sup>: the *Protocol Model* and the *Physical Model*, which are defined respectively as follows.

*Protocol Model* Let  $X_i$  denote the network node  $i$ . The physical location of the node  $i$  is also referred to by  $X_i$ . Suppose node  $X_i$  transmits over a sub-channel<sup>2</sup> to node  $X_j$ .  $X_j$  receives the transmission successfully if

$$|X_k - X_j| \geq (1 + \Delta)|X_i - X_j|$$

where  $X_k$  represents the nodes that are transmitting simultaneously over the same sub-channel; and  $\Delta > 0$  models the protocols that require a guard zone.

Using the same definitions for  $X_i$ ,  $X_j$  and  $X_k$ , the physical model is defined as follows.

*Physical Model*  $X_j$  receives the transmission from node  $X_i$  successfully if

$$\frac{\frac{P_i}{|X_i - X_j|^\alpha}}{N + \sum_{k \in \Gamma, k \neq i} \frac{P_k}{|X_k - X_j|^\alpha}} \geq \beta$$

<sup>1</sup>A *transmission model* defines the condition under which successful transmission occurs.

<sup>2</sup>Gupta and Kumar define the transmission models based on sub-channels, in order to accommodate the situation in which one main channel is divided into multiple sub-channels.

where  $\Gamma$  represents the set of the nodes transmitting simultaneously over the same sub-channel;  $P_k$  is the power level used by node  $X_k$ ,  $k \in \Gamma$ ;  $\beta$  is the minimum signal to interference ratio needed for successful reception;  $N$  is the ambient noise power level; and the signal decays as  $\frac{1}{r^\alpha}$  with distance  $r$ .

The physical model states that the reception is successful if the signal power at the receiver is higher than the sum of the power of the ambient noise and the signal power of other senders by a factor of  $\beta$ . The protocol model is a simplified abstraction of the physical model. For instance, the transmission power is assumed to be the same for all the nodes.

Gupta and Kumar [19] and later Xie *et al.* [24] use the concept of network *transport capacity* when developing the scaling law of the transmission capacity of wireless ad hoc networks. The network *transport capacity* is defined as

$$(3.1) \quad C_T := \sup_{(R_1, \dots, R_m) \text{ feasible}} \sum_{l=1}^m R_l \cdot \rho_l$$

where  $\rho_l$  represents the distance between the  $l$ th source node and its corresponding destination node;  $R_l$  represents the transmission rate of the  $l$ th source node.

Gupta and Kumar have studied the transport capacity of wireless ad hoc networks and identified the scaling law as  $\Theta(\sqrt{An})$  ( $n$  being the number of nodes in the network), if the network nodes are optimally placed, the traffic pattern is optimally chosen, and if the range of the transmission is optimally chosen. Suppose that all  $n$  network nodes participate in the transmission and the transport capacity is equally divided among all the  $n$  nodes, for ad hoc networks that occupies fixed area  $A$ , the scaling law of per-node maximum stable throughput can be derived based on Eq.( 3.1) as  $\Theta(\frac{1}{\sqrt{n}})$ . If the network nodes have *random* location and destination nodes, the per-node throughput is  $\Theta(\frac{1}{\sqrt{n \log n}})$  for ad hoc networks with a fixed area. This result is significant, because it shows that the per-node throughput capacity of wireless ad hoc networks diminishes as the number of nodes increases.

Gupta and Kumar [19] offers an intuitive account of how the multihop traffic constraint and the spatial concurrency constraint lead to the diminishing per-node capacity of wireless ad hoc networks. The account does not give the exact form of the aforementioned scaling law with respect to the per-node throughput. Still, it explains the dynamics among the throughput of wireless ad hoc networks and its influencing factors. Consider a wireless ad hoc network in which the network nodes are randomly located. Every node transmits to a randomly chosen destination. Each packet may traverse one or more hops before it reaches its destination. Suppose that the mean distance between the source and the destination is  $\bar{L}$  and the transmission range of the transmission is  $r$ . The number of hops traversed by the packet is at least  $\frac{\bar{L}}{r}$ . Assume that the per-node throughput is  $\lambda$ , then each node will generate no less than  $\frac{\bar{L}\lambda}{r}$  bits/sec of network traffic, which will be served by other nodes in the network. The total amount of traffic generated by all  $n$  nodes in the network is thus at least  $\frac{\bar{L}n\lambda}{r}$  bits/sec. To keep the queue lengths of the network nodes bounded, the total amount of traffic can not exceed  $nW$ , where  $W$  is the maximum throughput that can be achieved by each network node. Consequently, the following inequality must be satisfied:

$$(3.2) \quad \lambda \leq \frac{Wr}{\bar{L}}$$

Eq. (3.2) shows how the multihop traffic constraint affects the per-node throughput  $\lambda$ . More hops between the source node and the destination node means a smaller value of  $\frac{r}{\bar{L}}$ ,

which in turn leads to smaller per-node throughput  $\lambda$ . Eq. (3.2) may suggest that increasing  $r$  can improve the per-node throughput  $\lambda$ . However, due to the spatial concurrency constraint, increasing  $r$  will prevent more nodes from transmitting at the same time. The loss of  $\lambda$  from increased  $r$  is quadratic due to the fact that the spatial concurrency constraint affects all the nodes in the neighboring area of the transmitting node. Consequently, it is more desirable to reduce the transmission range  $r$  as much as possible. However, reducing  $r$  may cause the wireless ad hoc network to lose connectivity. Gupta and Kumar [18] prove that  $r$  needs to be at least  $\Theta(\sqrt{\frac{\log n}{\pi n}})$  in order to keep the network connected. Therefore, the per-node throughput  $\lambda$  diminishes with the increasing number of nodes  $n$ .

The work by Gupta and Kumar [19] can also be applied to wireless ad hoc networks with a variable area  $A$ . However, their results are weaker than that of Xie *et al.* [24]. In [19], the transport capacity scales as  $\Theta(\sqrt{An})$ , which increases with  $\sqrt{A}$ . In [24], the transport capacity scales as  $O(n)$  for network whose area  $A$  grows at least linearly with  $n$ . In both works, the per-node throughput for wireless ad hoc networks in which the destination nodes are randomly chosen is  $\Theta(\frac{1}{\sqrt{n \log n}})$ .

**3.3. Cut-based Analysis.** In addition to the works based on the concept of transport capacity [19, 24], Peraki *et al.* [12] use a *cut-based approach* to analyze the upper bound of network throughput in sensor networks.

Fig. 3.1 illustrates the cut-based approach for deriving the maximum stable throughput. As shown in the figure, network traffic needs to flow from the left part of the network to the right part of the network. According to the max-flow/min-cut theorem [4], the traffic must move across the cut shown in the figure.  $L$  and  $R$  represent the sections of width  $d_n$  on two sides of the cut.  $d_n$  is the transmission range of the sensors. The main idea of the cut-based approach as shown in the figure is that not all the nodes in the section  $L$  can transmit at the same time due to the spatial concurrency constraint. Consequently, the network throughput is constrained.

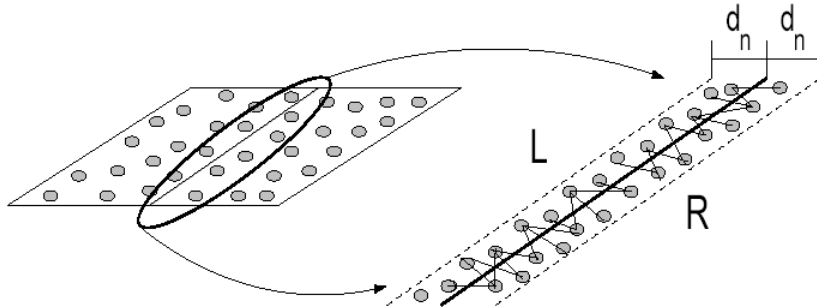


FIG. 3.1. The cut-based analysis of maximum stable throughput.  $L$  and  $R$  represent the sections of width  $d_n$  on the two sides of the cut. Only a portion of the nodes in the section  $L$  can transmit at the same time due to the spatial concurrency constraint.

Peraki *et al.* derive the scaling law of maximum stable throughput per node as  $\Theta(\sqrt{\frac{1}{n \log n}})$  for omnidirectional antennas. This result is the same as that of [19] and [24]. Peraki *et al.* also study the maximum stable throughput for two other situations. In the first situation, the senders can send a *single arbitrarily narrow beam*. The receiver can decode the signals from multiple transmissions as long as the transmissions are not co-linear. In

this situation, the scaling law of the maximum stable throughput per node is  $\Theta(\sqrt{\frac{\log n}{n}})$ , an improvement in the order of  $\log n$ . In the second situation, the senders can send *multiple arbitrarily narrow* beams. The receiver operates as in the first situation. In this situation, the scaling law of the maximum stable throughput per node is  $\Theta(\sqrt{\frac{1}{n}} \log^{\frac{3}{2}} n)$ , an improvement in the order of  $\log^2 n$ .

Towards a better understanding of fundamental performance limits in wireless sensor networks, many other works have been produced. Grossglauser *et al.* [20] proposed that mobility can increase the capacity of wireless ad hoc networks. Li *et al.* [21] demonstrated that the scalability of mobile ad hoc networks depends on whether the network traffic can be localized. Scaglione *et al.* [13] also used the cut-based analysis to study the impacts of routing and data compression on the maximum stable throughput. Barros *et al.* [22] studied the reachback capacity of sensor networks. Servetto [23] investigated the feasibility of large-scale sensor networks under the condition that data at nearby sensors is correlated. The interested readers are referred to these excellent references for a more in-depth coverage of these topics.

**4. Energy-Constrained Fundamental Performance Limits.** A fundamental limitation of sensor networks is the constrained energy source at each node ( $< 0.5$  Ah, 1.2V [8]), since most of sensors are micro-electronic devices. During signal propagation, the signal decays as  $r^{-\alpha}$  with transmission range  $r$ , where  $\alpha$  is the loss exponent of the signal [11]. The limited power and signal loss during propagation impose fundamental constraints on the operational lifetime of the sensor network, and other performance issues such as the capacity of data transmissions. In most cases, it is impossible to replenish energy levels in the sensor nodes. In this case, the initial energy levels in the sensor nodes and ongoing energy consumption rates directly affect the operational lifetime and the data transmission capacity of the sensor network.

There have been a few studies on network lifetime. Bhardwaj *et al.* [16] studied the upper bound of lifetime of sensor networks with a single data source. However, the lifetime studied in this paper is the *active* lifetime, *i.e.*, the time at which the total energy consumed equals the the total energy in the network available at the start. As shown in this chapter, such definition of upper bound yields very little relevance to the practical network. Since the network fails to function long before the last node in the network fails from energy depletion. In addition, [16] uses very simple models that fail to consider the stochastic behavior of relay nodes along the path between the source and data sink. Another work is by Shakkottai *et al.* [15]. In this work, it is shown that the the necessary and sufficient conditions for the coverage and the connectivity of the random grid network are  $p(n)r^2(n) \sim \frac{\log(n)}{n}$ . The authors claimed that, using a node failure model as a function of time, the results in the paper can be used to answer the question pertaining to the maximum length of time over which one can expect the network to provide coverage and be connected with probability no smaller than  $1 - \epsilon$ , where  $\epsilon$  is a small value. Zhang *et al.* [26] studied the upper bound of lifetime of the large sensor networks. Zhang *et al.* first derive the necessary and sufficient condition with respect to the node density in order to maintain the  $k$ -coverage. The network lifetime, which is defined based on complete coverage, is found to be upper bounded by  $kT$ , where  $T$  is the lifetime of each sensor.

In the remainder of this chapter, we take a different angle when we examine the problem of extending the operational lifetime of wireless sensor networks. Given a set of network characteristics and definitions, we seek to answer the following fundamental question: *What*

is the operational lifetime<sup>3</sup> of a particular wireless sensor network under the control of optimal power management schemes? An answer to this question leads to insights on the fundamental limits with respect to the performance gains using *any* energy-aware algorithms and protocols. As well, additional insights on the scalability of wireless sensor networks with respect to their energy costs may be derived when we study the relationships between the network lifetimes and sizes.

Studies on the scalability of sensor networks may lead to surprising results that must be well understood at the time of network deployment. Naturally, a sensor network that fails to function towards the end of its mission should not be deployed, or should be replenished by deploying additional nodes before functional failures. With adequate analysis, we may observe that the network lifetime after its initial deployment may not be arbitrarily extended by simply increasing the number of nodes initially deployed. Before communication failures due to energy costs, provisions must be made to replenish the network by adding additional nodes on the fly after its initial deployment.

Towards extending the lifetime, strategies with respect to such *network replenishment* due to sensor energy costs have never been studied in previous work. However, we argue that these are critical to the lifetime of sensor networks. A simple strategy may be that, a minimum number of nodes is deployed initially, with new nodes subsequently added to the network according to certain schedules. However, the optimal timing, location and size of node additions are still unknown. Theoretical studies on influential factors with respect to sensor network lifetime may lead to insights towards optimal network replenishment strategies.

Addressing these fundamental questions on sensor network lifetime, our original contributions in this chapter are as follows. *First*, we rigorously define the concept of *operational lifetime* of sensor networks. After such *lifetime* expires, a certain percentage of data transmissions fails. Though more complex, such a concept of lifetime is more relevant than definitions in previous studies, e.g., the time elapsed until the last sensor node fails [16]. We show that network fails to function with respect to transmissions long before the failure of the last node. *Second*, we develop the lower and upper bounds of operational lifetime using a stochastic model and a cut-based methodology. Our asymptotic analysis shows that, for fixed network sizes, operational lifetime decreases in the order of  $1/\sqrt{n}$  as the number of initially deployed nodes  $n$  grows. For fixed node densities, the lifetime decreases in the order of  $1/n$ . Our analysis also shows that the operational lifetime of the network is shorter than the average lifetime of individual nodes by a certain factor, which supports our definition of operational lifetime. *Finally*, we examine the impact of constrained energy levels on the maximum sustainable throughput in sensor networks. For sensor networks with renewable energy sources (e.g., solar energy sources), our analysis shows that the maximum sustainable throughput in energy-constrained sensor networks scales worse than the capacity predicted based on interference among concurrent transmissions, if the physical network size grows with  $n$  in the order greater than  $\log n$ . In this case, when the number of nodes is sufficiently high, the energy-constrained network capacity dominates. We believe that the effects of energy constraints on the operational lifetime and the capacity of wireless sensor networks are still largely uncharted territories, as there exists no previous work seeking to answer these questions analytically to the best of our knowledge.

The remainder of the chapter is structured as follows. Sec. 5 formally defines the network operational lifetime. Sec. 6 introduces the assumptions and definitions that will be used to

---

<sup>3</sup>The operational lifetime will be formally defined in Sec. 5.



analyze the operational lifetime. Sec. 7 analyzes the energy cost for relaying network traffic. Sec. 8 derives the lower and upper bounds of the operational lifetime. Sec. 9 discusses the implications of the results derived in Sec. 8. Sec. 10 derives and discusses the energy-constrained transmission capacity. Sec. 11 summarizes the main contribution of this chapter with respect to the energy-constrained performance limits. Finally, Sec. 12 concludes the chapter.

**5. Definition of Network Operational Lifetime.** The primary functionality of wireless sensor networks is to sense the environment and transmit the acquired information for further processing. As a result of constrained energy levels, sensors will eventually fail. However, intuitively, a network may fail to continuously support data transmissions — its primary functionality — long before the last sensor node fails (such intuition is shown to be correct later in this chapter). This will occur when the number of failed nodes in the network reaches a certain critical threshold. Therefore, the *operational lifetime* of a network should be defined such that, after such lifetime expires, a certain percentage of data transmissions fail.

Let  $\epsilon$  be a real number that satisfies  $0 < \epsilon < 1$ , we define the operational lifetime of a wireless sensor network as follows (detailed derivations that motivate such a definition are postponed to Sec. 8).

**Definition 5-1.** The *operational lifetime* of a network is the expected time after which at least  $100(1 - \epsilon^2)\%$  data transmissions fail.

The understanding of the asymptotic behavior of operational lifetimes is essential to the study of sensor network feasibility: whether or not a sensor network can function till the end of its mission. If a sensor network is proved to be infeasible, either the network should not be deployed, or a *network replenishment strategy* has to be devised. The replenishment strategy may propose to add additional nodes — and thus to add additional energy — to the network, in order to ensure that the network will complete its mission successfully.

**6. Assumptions and Definitions.**

**6.1. Network Model.** We begin our journey towards proving the correctness of our key observations and claims previously stated. Fig. 6.1 illustrates the setup of the problem. Without loss of generality, consider a wireless sensor network with  $n$  nodes uniformly deployed within a square area of size  $A$  as shown in Fig. 6.1. Each node has constrained energy sources. Optimal power schedules are assumed, achievable by optimal power management strategies. The objective is to find asymptotic impact of constrained energy resources on the fundamental performance limits of the network, such as the operational lifetime and data transmission capacity.

We consider the typical scenario in which all nodes behave as sources and the data sink is the destination of all transmissions. In the case that the source can not reach the destination directly, intermediate nodes will act as relays to forward messages to the destination via multiple hops.

As shown in Fig. 6.1, we consider nodes near  $y$ -axis (or  $x$ -axis) cuts close to the data sink.<sup>4</sup> Such a cut-based technique has been used in [12] and [13]. We argue that the analysis of the energy cost and thus the lifetime of the nodes near the cut can provide adequate insights into the energy cost and performance of the entire sensor network.

---

<sup>4</sup>The  $y$ -axis and  $x$ -axis cuts will be formally defined in Sec. 6.3.

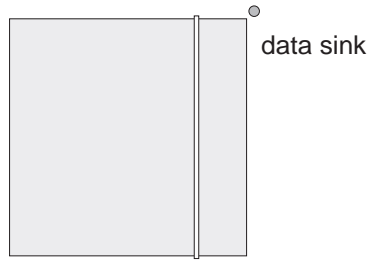


FIG. 6.1. We study a wireless sensor network with  $n$  nodes in a square area. The goal is to examine the asymptotic impact of constrained energy resources on the fundamental performance limits of the sensor network. The size of the square area is  $A$ .

**6.2. Radio Model.** Before we progress to a position to analyze the energy cost of data transmissions and the operational lifetime of the network, we need to clarify our basic assumptions and establish a few terms by definitions.

We first define the radio model used in this chapter. In this chapter, we adopt the first order radio model used in [6], [16] and many other literatures. In this model, the following energy parameters are included: transmit ( $\alpha_{11}$ ), receive ( $\alpha_{12}$ ), and transmit amplify ( $\alpha_2$ ). Without loss of generality, all respective energy costs are for one bit. We do not include the energy costs of sensing. The reason is that energy costs for sensing depends heavily on the specific application. Nevertheless, such energy costs can be easily integrated into our solution once the sensing model is defined. Based on such a radio model, the energy costs for transmitting the signal across the distance of  $r$  is

$$(6.1) \quad E_r = \alpha_{11} + \alpha_2 r^\alpha + \alpha_{12} = \alpha_1 + \alpha_2 r^\alpha$$

where  $\alpha_1 = \alpha_{11} + \alpha_{12}$ . When a source sends a message to a destination whose distance from source is  $d$ , it can use intermediate nodes to relay the message. Under the first order radio model, the optimal distance between relay nodes is the *characteristic distance* denoted by  $d_m$  [16].  $d_m$  is defined as

$$(6.2) \quad d_m = \sqrt[\alpha]{\frac{\alpha_1}{\alpha_2(\alpha - 1)}}$$

$d_m$  is independent of the source-destination distance  $d$ . Theorem 2 of [16] proves that  $d_m$  is the optimal hop distance for any  $d$  and the optimal number of hops taken,  $K$ , is given by either  $K = \lfloor \frac{d}{d_m} \rfloor$  or  $K = \lceil \frac{d}{d_m} \rceil$ . Without loss of generality, we assume  $d \gg d_m$  in our chapter to facilitate discussions. Hence  $K = \frac{d}{d_m}$ .

**6.3. Concept of Cut.** We then formally define the concept of *cut* and *failed cut*. A  $y$ -axis cut at position  $x$  is a line segment parallel to the  $y$ -axis whose  $x$ -axis position equals  $x$ . The  $y$ -position of the line segment starts from 0 and ends at  $\sqrt{A}$ . When the nodes near the cut fail, network traffic can not cross such a  $y$ -axis cut. Such a  $y$ -axis cut is referred to as a *failed  $y$ -axis cut*. An  $x$ -axis cut at position  $y$  and a failed  $x$ -axis cut can be similarly defined.

**7. Energy Cost across the Cut.** We now consider the energy cost of nodes near a  $y$ -axis cut. As illustrated in Fig. 6.2, a  $y$ -axis cut is placed at position  $x = b$ . We are interested in the energy costs of the nodes in a set  $R$ , where  $R$  is defined as

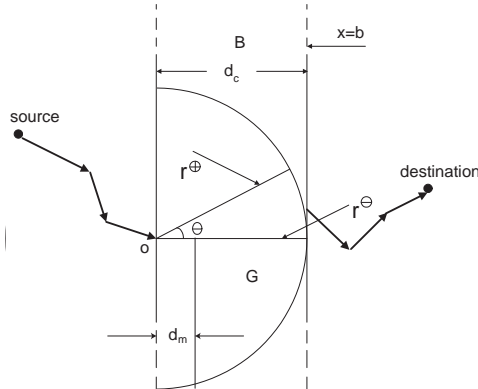


FIG. 6.2. A  $y$ -axis cut is placed at position  $x = b$ .  $B = [b - d_c, b] \times [0, \sqrt{A}]$ . Any message from source to destination must be relayed by one or more nodes in  $B$ .

$$(7.1) \quad R = \{v | (v_x, v_y) \in [b - d_c, b] \times [0, \sqrt{A}]\}$$

where  $d_c$  is the maximum transmission range used by the nodes in the network. In the case that each node uses different maximum transmission ranges, since  $d_c$  must apply to all the cuts,  $d_c$  should be interpreted as the average of the maximum transmission ranges used by all nodes. Informally,  $R$  represents the nodes within the rectangular area immediately left of  $b$ , whose width is  $d_c$ . Denote the area occupied by  $R$  as  $B$ . We have  $B = [b - d_c, b] \times [0, \sqrt{A}]$ .

**Definition 7-1.** The *relay position set*  $G$  is defined as the set of possible positions of relay nodes.

Without loss of generality, assume that the transmission is from left to right. Then  $G$  is the right half of the circle centered at the sender. We assume that each node in the network needs to send 1 bit of information in each time slot. Since  $d_c$  is the maximum transmission range, any source must rely on one or more nodes in  $R$  to relay the messages in order to cross cut  $b$ . Let  $h_b$  be the number of hops a 1-bit message needs to take in  $B$  in order to cross cut  $b$ . Let  $r_j$  be the distance traversed in the  $j$ th hop, where  $1 \leq j \leq h_b$ . Let  $o_j$  and  $z_j$  be the sender and receiver of the  $j$ th hop, where obviously  $z_{j-1} = o_j$  for  $2 \leq j \leq h_b$ . Let  $c_b$  be the energy spent by  $o_j$ ,  $1 \leq j \leq h_b$ .  $c_b$  is in fact the total energy spent by  $v \in R$  in order to forward such 1-bit message to cross cut  $b$ . We then have

$$(7.2) \quad c_b = \sum_{j=1}^{h_b} E_{r_j} = \sum_{j=1}^{h_b} (\alpha_1 + \alpha_2 r_j^\alpha)$$

$r_j$  are in fact iid random variables. This claim is based on the observation that *sub-paths of shortest paths are shortest paths*. Further, the setup of cut  $b$  and area  $B$  is artificial. The optimal schedule of each hop does not depend on the result of the previous hop. The

only limitation is that the maximum transmission range can not exceed  $d_c$ . Another way to interpret this is that each hop actually belongs to multiple cuts.

Since  $r_j$  are iid random variables,  $E_{r_j}$  are also iid random variables. Therefore, whenever appropriate, we will omit the subscript  $j$  and use  $r$  and  $E_r$  respectively in later discussions. For instance, we can write

$$(7.3) \quad E_r = \alpha_1 + \alpha_2 r^\alpha$$

For practical applications,  $d_m \ll d_c$ . In addition, we are able to show that, the probability of  $r \gg d_m$  is very low. Therefore we have the equality<sup>5</sup>

$$(7.4) \quad d_c = \sum_{j=1}^{h_b} r_j \cos(\theta_j)$$

where  $\theta_j$  is the angle between  $\mathbf{r}_j^*$  and the  $x$ -axis. We are interested in the expectation of  $c_b$  under optimal power management. From Eq. (7.2), we have

$$(7.5) \quad E[c_b] = E[h_b]E[E_r] = \frac{d_c}{E[r \cos(\theta)]} E[E_r]$$

**Lemma 7-1.**  $c_b$  satisfies

$$(7.6) \quad \frac{d_c(\alpha_1 + \alpha_2 d_m^\alpha)}{d_m} \leq c_b \leq \frac{d_c(\alpha_1 + \alpha_2 d_c^\alpha)}{d_m}$$

$$(7.7) \quad E[c_b] < \frac{d_c(\alpha_1 + \alpha_2 \omega_\alpha(\lambda, d_c))}{d_m(1 - e^{-\lambda \frac{\pi d_c^2}{2}})} \leq \frac{d_c(\alpha_1 + \alpha_2 d_c^\alpha)}{d_m(1 - e^{-\lambda \frac{\pi d_c^2}{2}})}$$

where  $\lambda = \frac{n}{A}$  and  $\omega_\alpha(\lambda, d_c) < d_c^\alpha$ .

Asymptotically,  $\omega_\alpha(\lambda, d_c)$  satisfies a)  $\lim_{n \rightarrow \infty} \omega_\alpha(\lambda, d_c) = (1 + \kappa)d_m^\alpha$ ,  $\kappa > 0$ ; or b)  $\omega_\alpha(\lambda, d_c)$  grows with  $n$ .

*Proof:* The proof of Eq. (7.6) is trivial. As proved in [16], the relay path with the least energy cost is the straight line parallel to the  $x$ -axis (Fig. 6.2). In addition, the distances traversed by each hop must equal to the characteristic distance  $d_m$  defined in Eq. (6.1) in order to achieve the minimum energy cost. Consequently, the minimum energy cost to relay a 1-bit message across the cut shown in Fig. 6.2 is  $\frac{d_c(\alpha_1 + \alpha_2 d_m^\alpha)}{d_m}$ . This minimum value can be achieved iff network nodes occupy the positions whose distances from the point  $o$  are multiples of  $d_m$ , which is not the case in general. The proof of Eq. (7.7) is much more involved. However, the asymptotic results of this chapter actually do not depend on the details of the closed form of  $\omega_\alpha$ . In fact, the qualitative claims pertaining to  $\omega_\alpha$  can be explained intuitively. Since  $d_m$  is the optimal distance [16], we can use  $d_m(1 - e^{-\lambda \frac{\pi d_c^2}{2}})$  as the first order approximation of  $E[r \cos(\theta)]$ . Since  $E_r = \alpha_1 + \alpha_2 r^\alpha$ , the expectation  $E[E_r]$

<sup>5</sup>In general, the inequality  $d_c \leq \sum_{j=1}^{h_b} r_j \cos(\theta_j) \leq 2d_c$  rather than the equality in Eq. (7.4) holds. For simplicity of presentation, we introduce the equality here. The asymptotic results of this chapter remain the same if the inequality is applied.

must have the form of  $\alpha_1 + \alpha_2 \omega_\alpha$ , where  $\omega_\alpha = E[r^\alpha]$ . Obviously,  $\omega_\alpha \leq d_c^\alpha$ . Because  $d_m$  is the optimal distance, if the network node density increases with larger  $n$  ( $\lambda$  increases), the optimal distance  $d_m$  will more likely be chosen. In such cases,  $\lim_{n \rightarrow \infty} \omega_\alpha(\lambda, d_c) = (1 + \kappa) d_m^\alpha$ . For  $\alpha = 2$ , it can be proved that  $\kappa = \frac{1}{2\pi}$ . if the network node density decreases with larger  $n$  ( $\lambda$  decreases),  $\omega_\alpha$  increases since  $d_c \gg d_m$ <sup>6</sup>. However,  $\omega_\alpha$  never exceeds  $d_c^\alpha$ .  $\square$

Fig. 7.1 shows the comparison of our theoretical bounds and simulation results. The energy parameters used in simulation and theoretical bounds are  $\alpha_1 = 50\text{nJ/bit}$ , and  $\alpha_2 = 0.1\text{nJ/bit/m}^2$ . The lower bound shown is calculated based on the assumption that the node at the optimal distance  $d_m$  along the straight line parallel to the  $x$ -axis will always be chosen as the relay node. As shown in this figure, the upper bound derived above is reasonable tight.

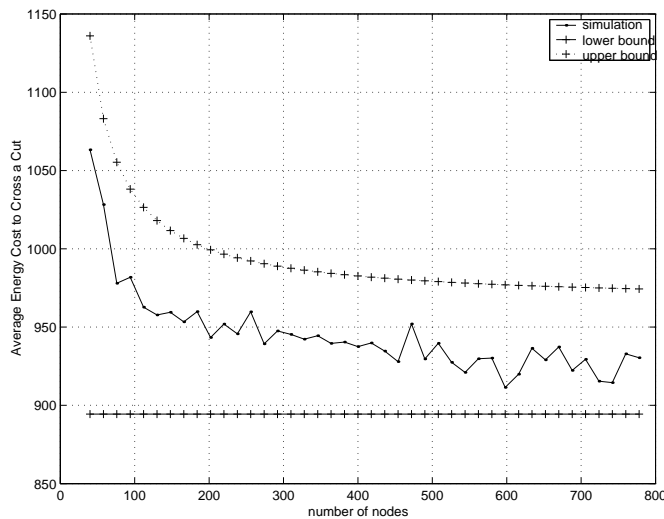


FIG. 7.1. Comparison between simulation results and theoretical lower and upper bounds, where  $d_c = 200\text{m}$ , and  $A = 4 \times 10^6 \text{m}^2$ . For convenience of illustration, the number of nodes shown is  $\sqrt{n}$  rather than  $n$ . The unit of  $y$ -axis is  $\text{nJ}$ .  $\alpha = 2$ .

**8. Operational Lifetime of Sensor Networks.** In this chapter, we are interested in the operational lifetime  $t_c$  of the sensor network. The nature of  $t_c$  can be explained as follows. With the progress of time, some of the network nodes may fail after the depletion of their energy resources. Even though the distributions of the failed nodes are random, there exist certain probabilities that some nodes that are physical proximate, such as the nodes in the region  $B$  near a cut (Fig. 6.2), may fail faster than some of the other nodes in the network. If this event happens, all the network transmissions that across that cut will break. When the number of the failed cuts in certain critical region (as will be analyzed in Fig. 8.1) reach certain threshold, more than  $100(1 - \epsilon^2)\%$  data transmissions fail and the network reach its operational life. Our study of operational lifetime  $t_c$  explores the aforementioned characteristics of the network. In general, the operational lifetime can be reached long before the last node in the network fails.

As assumed in Sec. 7, each node in the network needs to send 1 bit of information in each time slot. As shown in Fig. 8.1, we assume that there are  $m$   $y$ -axis cuts, whose

<sup>6</sup>If  $d_c \ll d_m$ ,  $\omega_\alpha$  will approach a constant  $> 0$ , because  $d_m > 0$  is the optimal distance.

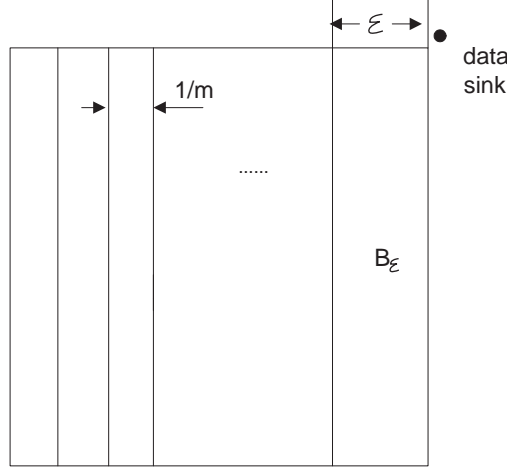


FIG. 8.1. There are  $m$   $y$ -axis cuts, whose positions are  $\frac{i}{m}\sqrt{A}, 1 \leq i \leq m$ . For the convenience of illustration, the figure shown above has been normalized to the size of 1. When considering  $y$ -axis only, 100% transmissions will break iff there is at least one failed  $y$ -axis cut whose position  $x \geq (1 - \epsilon)\sqrt{A}$ .

positions are  $\frac{i}{m}\sqrt{A}, 1 \leq i \leq m$ . The value of  $m$  will be determined later in the proof of Lemma 8-1. For the cut at the position  $\frac{i}{m}\sqrt{A}$ , the amount of traffic needs to be relayed is  $\frac{i}{m}n$ . Therefore, the total energy costs in  $t$  time slots for nodes  $v \in R$  near such a cut is

$$(8.1) \quad c_{t,i} = \sum_{k=1}^t \frac{i}{m} n c_{b,k,i}$$

where  $c_{b,k,i}$  is the average energy cost of, in the  $k$ th time slot, to forward a 1-bit message across cut  $i$ . During the development of the upper bound of  $c_b$ , we consider the probability of occupying, the probability that a position in region  $B$  (Fig. 6.2) is occupied by a node, based only on the initial deployment. In practice, the probability of occupying is affected by the energy cost as well. A node will fail after depleting its energy resources. Because of the failed nodes, the effective number of the nodes in the network decreases over time. Therefore, to model  $c_{b,k,i}$ , the energy cost in the network after the number of nodes decreases, the value of  $\lambda$  needs adjustment accordingly.  $\lambda$  should equal to  $\frac{n}{A}\tau_{k,i}$ , where  $0 < \tau_{k,i} \leq 1$ . However, because the initial distribution of the nodes in the network is random and the random nature of the network traffic, the distributions of the failed nodes are also random. Consequently, the results from Lemma 7-1 still hold for  $c_{b,k,i}$ . In fact, the inequality in Eq. (7.6) and the qualitative claims pertaining to the asymptotic behavior  $\omega_\alpha$  hold even for uneven distributions of network nodes. In practice, we are interested in the values of  $n$  and  $A$  smaller than some finite values  $n_{\max}$  and  $A_{\max}$ . Because a smaller  $\lambda$  leads to a larger  $c_b$ , there exists a value  $\tau_{\min}$ , whose corresponding  $c_{b,\max}$  satisfies

$$(8.2) \quad E[c_{b,\max}(\frac{n}{A}\tau_{\min}, d_c)] \geq \max_{i,k,n < n_{\max}, A < A_{\max}} E[c_{b,k,i}]$$

In addition, the value of  $c_{b,\max}$  is independent from  $t_c$ .  $c_{b,\max}$  depends on the node distribution characterized by  $\lambda = \frac{n}{A}\tau_{\min}$ . Therefore, we have

$$(8.3) \quad E[c_{t_c, i}] \leq \frac{i}{m} n t_c E[c_{b, \max}]$$

In the remainder of the chapter, all references to  $c_b$  are actually the references to  $c_{b, \max}$ . For simplicity, we drop the subscript max and use  $c_b$  hereafter.

**Lemma 8-1.** The operational lifetime  $t_c$  satisfies  $\frac{2b_\epsilon e_o}{\sqrt{nA}[\alpha_1 + \alpha_2 \omega_\alpha(\lambda, d_c)]} d_m (1 - e^{-\lambda \frac{\pi d_c^2}{2}}) < t_c \leq \frac{2\epsilon b_\epsilon e_o d_m}{\sqrt{n} d_c (\alpha_1 + \alpha_2 d_m^2)}$ .

*Proof:* We consider the  $y$ -axis cuts first. Because the data sink is the destination of all the transmissions, 100% transmissions will break iff there is at least one failed  $y$ -axis cut whose position  $x \geq (1 - \epsilon)\sqrt{A}$ . As shown in Fig. 8.1, we define the region covering these cuts as  $B_\epsilon$ .

We develop the lower bound first. Let  $n_f(t)$  be the number of failed cuts in region  $B_\epsilon$  by time  $t$ , we then have

$$(8.4) \quad n_f(t) = \sum_{i=1}^{m\epsilon} I_{t, m-i+1}$$

where  $I_{t, m-i+1}$  is the indicator variable defined as

$$(8.5) \quad I_{t, j} = \begin{cases} 1, & \text{cut at the position } \frac{j}{m}\sqrt{A} \text{ has failed by time } t. \\ 0, & \text{cut at the position } \frac{j}{m}\sqrt{A} \text{ is active by time } t. \end{cases}$$

$E[n_f(t)] = \sum_{i=1}^{m\epsilon} E[I_{t, m-i+1}] = \sum_{i=1}^{m\epsilon} P[I_{t, m-i+1} = 1]$ , where, based on Markov inequality,  $P[I_{t, m-i+1} = 1] = P[c_{t, m-i+1} \geq n d_{cr} e_o] \leq \frac{E[c_{t, m-i+1}]}{n d_{cr} e_o}$ , where  $e_o$  is the initial energy available at each node, and  $d_{cr} = \frac{d_c}{\sqrt{A}}$ . Hence  $t_c$ , the expected time required for  $100 \times (1 - \epsilon)\%$  network transmissions to fail due to failed  $y$ -axis cuts satisfies the following:

$$\begin{aligned} 1 \leq E[n_f(t)] &\leq \sum_{i=1}^{m\epsilon} \frac{E[c_{t, m-i+1}]}{n d_{cr} e_o} \\ &\leq \frac{t_c E[c_b]}{d_{cr} e_o} \sum_{i=1}^{m\epsilon} \frac{m - i + 1}{m} \\ &= \frac{t_c E[c_b]}{d_{cr} e_o} m \epsilon \left[ \left(1 + \frac{1}{m}\right) - \frac{1}{2} \epsilon - \frac{1}{2m} \right] \end{aligned}$$

Since there are  $n$  nodes in the network, we then have  $m = \sqrt{n}$ <sup>7</sup>. Therefore, as  $n \rightarrow \infty$ ,  $t_c$  satisfies

$$1 \leq \frac{t_c E[c_b]}{d_{cr} e_o} \sqrt{n} \epsilon \left(1 - \frac{1}{2} \epsilon\right)$$

<sup>7</sup>A more precise estimate of  $m$  is  $m \propto \sqrt{n}$ . For simplicity of discussions, we let  $m = \sqrt{n}$ , since it will not affect our discussions of the asymptotic behavior of  $t_c$ .

Let  $b_\epsilon = \frac{1}{\epsilon(2-\epsilon)}$ , we then have

$$\begin{aligned} \lim_{n \rightarrow \infty} t_c &\geq \frac{2b_\epsilon d_{cr} e_o}{\sqrt{n} E[c_b]} \\ &> \frac{2b_\epsilon e_o}{\sqrt{n} A[\alpha_1 + \alpha_2 \omega_\alpha(\lambda, d_c)]} d_m (1 - e^{-\lambda \frac{\pi d_c^2}{2}}) \end{aligned}$$

Using the second inequality of Eq. (7.6), we can follow the steps similar to the above derivation process, but without the need to reference Eq. (8.2) and Eq. (8.3), to develop a relatively loose lower bound of  $t_c$  as follows:

$$\lim_{n \rightarrow \infty} t_c > \frac{2b_\epsilon e_o}{\sqrt{n} A(\alpha_1 + \alpha_2 d_c^\alpha)} d_m$$

To develop the upper bound, we use the condition that the total energy cost of all the cuts in the region  $B_\epsilon$  can not exceed  $n\epsilon e_o$ . We then have

$$\begin{aligned} n\epsilon e_o &\geq \sum_{i=1}^{m\epsilon} c_{t, m-i+1} \\ &= \sum_{i=1}^{m\epsilon} \sum_{k=1}^{t_c} \frac{m-i+1}{m} n c_{b, k, i} \end{aligned}$$

Applying Eq. (7.6) of Lemma 7-1, we have

$$\begin{aligned} n\epsilon e_o &\geq \sum_{i=1}^{m\epsilon} \sum_{k=1}^{t_c} \frac{m-i+1}{m} n \frac{d_c(\alpha_1 + \alpha_2 d_m^\alpha)}{d_m} \\ &= n t_c \frac{d_c(\alpha_1 + \alpha_2 d_m^\alpha)}{d_m} \sum_{i=1}^{m\epsilon} \frac{m-i+1}{m} \end{aligned}$$

Applying  $m = \sqrt{n}$  as before, we have

$$\lim_{n \rightarrow \infty} n\epsilon e_o \geq n t_c \frac{d_c(\alpha_1 + \alpha_2 d_m^\alpha)}{d_m} \sqrt{n} \epsilon (1 - \frac{1}{2}\epsilon)$$

Therefore, the upper bound of  $t_c$  is

$$(8.6) \quad \lim_{n \rightarrow \infty} t_c \leq \frac{2\epsilon b_\epsilon e_o d_m}{\sqrt{n} d_c(\alpha_1 + \alpha_2 d_m^\alpha)}$$

The upper bound just derived is a loose bound. To achieve the equality in Eq. (8.6), two conditions must be met. First, all the energy resources on all the nodes in the region  $B_\epsilon$  must be depleted at the time  $t_c$ . Second, the minimum energy cost  $\frac{d_c(\alpha_1 + \alpha_2 d_m^\alpha)}{d_m}$  must be achieved



for all data transmissions throughout the lifetime of the network, which is not possible at the later stage of network life. Nevertheless, the upper bound in Eq. (8.6) offers additional proof that the energy-constrained capacity scales worse than the interference-constrained capacity. For instance, for fixed  $\lambda$ , the upper bound of  $t_c$  scales as  $\frac{1}{\sqrt{n \log n}}$  because  $d_c$  must grow with  $n$  in the order of  $\Theta(\sqrt{\frac{\log n}{\pi n}})$  in order to keep the network connected [18]. Note that in Gupta and Kumar [18], it is assumed that the network occupies unit area. Compare  $\frac{1}{\sqrt{n \log n}}$ , the upper bound of  $t_c$  for fixed  $\lambda$  with the interference-constrained capacity  $\Theta(\frac{1}{\sqrt{n \log n}})$  [19, 12, 24], we prove that, since the upper bound of  $t_c$  is not attainable, the energy-constrained capacity scales worse.

In the above derivations, we only consider the broken network transmissions due to failed  $y$ -axis cuts. In fact, when the expected number of failed  $y$ -axis cuts reaches 1, the expected number of failed  $x$ -axis cuts in  $B_\epsilon$  also reaches 1. Therefore, at time  $t_c$ , there are at least  $100 \times (1 - \epsilon^2)\%$  network transmissions broken.

Let  $t_{low}$  represent the lower bound of the network lifetime, we then have

$$(8.7) \quad t_{low} = \frac{2b_\epsilon e_o}{\sqrt{nA}[\alpha_1 + \alpha_2 \omega_\alpha(\lambda, d_c)]} d_m (1 - e^{-\lambda \frac{\pi d_c^2}{2}})$$

□

### 9. Discussion on Lifetime.

**9.1. Fixed  $A$ , variable  $n$ .** In such a scenario, the node density varies while the deployment area of the sensor network remains the same. As shown in the proof of Lemma 7-1,  $\lim_{n \rightarrow \infty} \omega_\alpha(\lambda, d_c) = \text{constant}$ . Using Lemma 8-1, we have  $t_{low} \propto \frac{2b_\epsilon e_o}{\sqrt{nA}}$ , when  $n$  is large. Therefore,  $t_{low}$  will decrease in the order of  $n^{-\frac{1}{2}}$ .

**9.2. Fixed  $\lambda$ , variable  $n$ .** In such a scenario, the size of the network varies while the node density remains the same. When  $\lambda$  is fixed, we are able to prove  $\lim_{n \rightarrow \infty} \omega_\alpha(\lambda, d_c) = \text{constant}$  for both fixed and variable  $d_c$  by using the result that  $d_c$  needs to grow with  $n$  in the order of  $\Theta(\sqrt{\frac{\log n}{\pi n}})$  in order to keep the network connected [18]<sup>8</sup>. Thus  $t_{low} \propto \frac{1}{\sqrt{nA}} = \frac{\sqrt{\lambda}}{n}$ . That is,  $t_{low}$  decreases in the order of  $\frac{1}{n}$  when the coverage of the sensor network grows while node density remains the same.

**9.3. Comparison with the Average Node Lifetime.** Let  $t_o$  represent the average lifetime of an individual node. Then  $t_o \propto \frac{nd_{cr}e_o}{nE[c_b]/2}$ . Compare this with the result from Lemma 8-1, we conclude that the operational lifetime of a wireless sensor network is shorter than the average lifetime of an individual node by a factor of  $b_\epsilon/\sqrt{n}$  for fixed network sizes and  $b_\epsilon/n$  for fixed node densities. Since  $\epsilon$  can not be too small,  $b_\epsilon$  can not be too large. Therefore, the operational lifetime is much smaller than the average lifetime of an individual node when the number of nodes in the network is large.

This result confirms the validity of the definition of the operational lifetime proposed in this chapter. It shows that the network has ceased to function long before the last node fails from energy depletion.

<sup>8</sup>In Gupta and Kumar [18], it is assumed that the network occupies unit area.

**9.4. Intuitive Interpretation.** Intuitively, for fixed network sizes, when there are more nodes generating traffic, there are more nodes available to relay the traffic across the cut because the node density will grow with  $n$ . Under optimal power management, when the number of nodes is sufficiently large, the characteristic distance will always be chosen. Thus the network lifetime depends mainly on the number of cuts vulnerable. We can then conclude that adding more nodes in the initial deployment does not add redundancy, since each new node needs to generate traffic and relay traffic for other nodes. Note that as  $n$  grows, the absolute number of transmissions remaining after  $t_c$  is larger, but it is much easier for a certain percentage of transmissions to fail when  $n$  is larger.

When the network size needs to grow with the number of nodes, the node density remains the same. Therefore, there will be relatively fewer nodes available to relay the growing traffic across the cut. Such disparity will grow in the order of  $\frac{1}{\sqrt{n}}$ . Together with the  $\frac{1}{\sqrt{n}}$  factor introduced by the number of vulnerable cuts, the lifetime decreases with  $n$  in the order of  $\frac{1}{n}$ .

The above discussion leads to the significance of the characteristic distance. If  $\alpha_1 = 0$  (in which case the characteristic distance is not significant), more nodes will always lead to less energy costs and a longer lifetime. However, when  $\alpha_1$  is significant, the relay energy cost will remain the same after  $n$  reach a certain threshold.

## 10. Energy-Constrained Transmission Capacity.

**10.1. Scalability of Maximum Sustainable Throughput.** For sensor networks depending on renewable energy such as solar energy, the maximum amount of data that can be transmitted in any given time period is limited by the energy available during the same time period. Let  $w$  denote the *maximum sustainable throughput*, *i.e.*, the maximum number of bits can be injected into the network by each node without causing network failure as a result of energy depletion. Based on Eq. (8.7),  $w$  can be found by solving following equation:

$$(10.1) \quad \begin{aligned} t &= \frac{2b_\epsilon e_s t d_m (1 - e^{-\lambda \frac{\pi d_c^2}{2}})}{w \sqrt{nA} (\alpha_1 + \alpha_2 \omega_\alpha(\lambda, d_c))} \\ w &= \frac{2b_\epsilon e_s d_m (1 - e^{-\lambda \frac{\pi d_c^2}{2}})}{\sqrt{nA} (\alpha_1 + \alpha_2 \omega_\alpha(\lambda, d_c))} \end{aligned}$$

where  $e_s$  is the power renewal rate. Therefore,  $w \propto \frac{b_\epsilon}{\sqrt{n}}$  for fixed network sizes and  $w \propto \frac{b_\epsilon}{n}$  for fixed node densities.

**10.2. Comparison with Interference-Constrained Capacity.** We compare the above result with the capacity predicted based on the interference among concurrent transmissions. Interference-constrained capacity per node scales as  $\Theta(\frac{1}{\sqrt{n \log n}})$  [19, 12, 24]. When  $\lambda$  is fixed, it is obvious that the energy-constrained capacity scales much worse than interference-constrained capacity. In fact,  $\omega_\alpha(\lambda, d_c)$  either approaches a constant or grows with  $n$ , and  $(1 - e^{-\lambda \frac{\pi d_c^2}{2}})$  either approaches zero or a constant no greater than 1. Therefore, as long as  $A$  grows with  $n$  in the order greater than  $\log n$ , the maximum sustainable throughput  $w$  scales worse than interference-constrained capacity. In this case, when the number of nodes is sufficiently high, the energy-constrained network capacity dominates.

For a fixed  $A$ , since  $\sqrt{\log n}$  grows very slowly with  $n$ , the scalability of the energy-constrained capacity and interference-constrained capacity with respect to  $n$  are comparable. Therefore, in the case that the power of the renewable energy source is constrained compared to the power consumption of the system (after the adjustments necessary for considering other variables and constants in Eq. (8.7)), if due to technology advances, the raw system transmission capacity of the sensors grows much faster than the system power efficiency, or the system power is increased in order to produce higher network throughput (as proposed in [24]), the energy-constrained capacity will dominate.

**11. Summary of Results.** In this chapter, we systematically study the lower and upper bounds of operational lifetime based on a stochastic model, and then identify its influential factors. Let  $b_\epsilon = \frac{1}{\epsilon(2-\epsilon)}$ . Our key results with respect to the operational lifetime are established in the following theorem.

**Theorem 11-1.**

- (1) For fixed network sizes, the operational lifetime of a wireless sensor network decreases in the order of  $1/\sqrt{n}$  as the number of nodes  $n$  grows.
- (2) For fixed node densities, the operational lifetime of a wireless sensor network decreases in the order of  $1/n$ .
- (3) The operational lifetime of a wireless sensor network is smaller than the average lifetime of individual nodes by a factor of  $b_\epsilon/\sqrt{n}$  for fixed network sizes and  $b_\epsilon/n$  for fixed node densities.

Since  $\sum_i \frac{1}{\sqrt{n_i}} > \frac{1}{\sqrt{\sum_i n_i}}$  and  $\sum_i \frac{1}{n_i} > \frac{1}{\sum_i n_i}$ , Theorem 11-1 shows that, a good network replenishment strategy is to replenish the network by adding additional batches of sensor nodes in subsequent stages, and these batches should be organized so that the sizes of different stages are as small as possible.

However, there exist several constraints to the smallest batch. These constraints include: (1) The requirement of minimum coverage for sensing purposes (both in terms of node density and network size); (2) The deployment overhead incurred in addition to the cost of sensors; and (3) the limited deployment window due to realistic causes (e.g., enemy positions in battlefields or weather conditions). Theorem 11-1 may be used to identify the optimal network replenishment strategy under such constraints.

For sensor networks that rely on renewable energy sources such as solar energy, the maximum amount of data that can be transmitted in any given time period is limited by the energy available during the same time period. Our investigation towards the operational lifetimes of sensor networks also leads to significant results with respect to the maximum sustainable throughput. The following theorem establishes our key observation with respect to the maximum sustainable throughput.

**Theorem 11-2.**

- (1) The maximum sustainable throughput of a wireless sensor network with renewable energy sources is limited by  $n$  in the order of  $b_\epsilon/\sqrt{n}$  for fixed network sizes and  $b_\epsilon/n$  for fixed node densities.
- (2) The energy-constrained capacity scales worse than interference-constrained capacity if the size of the network grows with  $n$  in the order greater than  $\log n$ .

The above results imply that, if the growth of the network size is not exceedingly slow compared to the growth of  $n$ , and when the number of nodes in the network is sufficiently large, the fundamental performance limits with respect to network capacity are dom-

inated by the energy-constrained capacity, rather than interference-constrained information-theoretic capacity.

**12. Conclusion.** In this chapter, we studied the fundamental performance limits of wireless sensor networks. In particular, we studied the asymptotic behavior of operational lifetime and energy-constrained capacity of sensor networks. For sensor networks with renewable energy sources, our analysis shows that the maximum sustainable throughput in the network scales much worse than the capacity predicted based on interference among concurrent transmissions, if the growth of the physical network size is not exceedingly slow compared to the growth of  $n$ . Our results can be used to study the feasibility of deploying energy-constrained sensor networks and their replenishment strategies.

Because of constrained energy levels, the feasibility of deploying sensor networks has to be studied prior to its deployment. We can use Eq. (8.7) and Theorem 11-1 and 11-2 to calculate the expected operational lifetime of the network. In such cases, there usually exists minimum coverage requirements on node density (for fixed  $A$ ) or area covered (for fixed  $\lambda$ ). There may also exist minimum requirements on the network throughput. If the operational lifetime calculated based on such minimum coverage requirements can not cover the entire mission, a network replenishment strategy has to be devised to add additional nodes — thus more energy — into the network to ensure that the network will complete its mission successfully. Using Eq. (8.7) and constraints such as the deployment cost and the time window, a linear programming problem can be formulated to identify the optimal timing, location, size of node additions, and the schedule and amount of data transmissions, while minimizing the costs and risks involved.

#### REFERENCES

- [1] D. Johnson and D. Maltz, "Dynamic Source Routing in Ad Hoc Wireless Networks," in *Mobile Computing*, ed. T. Imielinski and H. Korth, Ch. 5, pp. 153-181, Kluwer Academic Publishers, 1996.
- [2] C. Perkins and E. Royer, "Ad-hoc On-Demand Distance Vector Routing," in *Proceedings of IEEE MILCOM '97*, 1997.
- [3] Z. Haas, "A New Routing Protocol for the Reconfigurable Wireless Networks," in *Proceedings of the IEEE International Conference on Universal Personal Communications*, October 1997.
- [4] L. R. Ford and D. R. Fulkerson. "Flows in Networks," Princeton University Press, 1962.
- [5] C. Schurgers, M. Srivastava. "Energy Efficient Routing in Wireless Sensor Networks," in *Proc. MILCOM 2001*, pp. 357-361, October 2001.
- [6] W. Heinzelman, A. Chandrakasan, and H. Balakrishnan. "Energy-Efficient Communication Protocols for Wireless Microsensor Networks," in *Proc. Hawaiian Int'l Conf. on Systems Science*, January 2000.
- [7] S. Singh, M. Woo, and C. Raghavendra. "Power-Aware Routing in Mobile Ad Hoc Networks," in *Proc. 4th ACM/IEEE Mobicom*, 1998.
- [8] I. F. Akyildiz, W. Su, Y. Sankarasubramaniam, and E. Cayirci. "A Survey on Sensor Networks," *IEEE Comm. Magazine*, Vol. 40, No. 8, pp. 102-114, August 2002.
- [9] V. Rodoplu and T. H. Meng. "Minimum Energy Mobile Wireless Networks," *IEEE Jour. Selected Areas Comm.*, vol. 17, no. 8, pp. 1333-1344, Aug. 1999.
- [10] R. Wattenhofer, L. Li, V. Bahl and Y. M. Wang. "Distributed Topology Control for Power Efficient Operation in Multihop Wireless Ad Hoc Networks," in *Proc. IEEE INFOCOM*, pages 1388-1397, April 2001.
- [11] T. S. Rappaport. "Wireless communications: Principles and Practice," Prentice Hall, 1996.
- [12] C. Peraki, S. D. Servetto. "On the Maximum Stable Throughput Problem in Random Networks with Directional Antennas," in *Proc. of the 4th ACM MobiHoc*, June 2003.
- [13] A. Scaglione, S. D. Servetto. "On the Interdependence of Routing and Data Compression in Multi-Hop Sensor Networks," in *Proc. of the 8th ACM Mobicom*, Atlanta, September 2002.
- [14] K. Kar, M. Kodialam, T. V. Lakshman, and L. Tassiulas. "Routing for Network Capacity Maximization in Energy-constrained Ad-hoc Networks", in *Proc. of IEEE INFOCOM*, pages 673-681, 2003.

- [15] S. Shakkottai, R. Srikant and N. Shroff. "Unreliable Sensor Grids: Coverage, Connectivity and Diameter," in *Proc. of IEEE INFOCOM*, pages 1073-1083, 2003.
- [16] M. Bhardwaj, T. Garnett, and A. P. Chandrakasan. "Upper Bounds on the Lifetime of Sensor Networks," in *Proc. ICC 2001*, June 2001.
- [17] J. E. Wieselthier, G. D. Nguyen, and A. Ephremides. "On the Construction of Energy-efficient Broadcast and Multicast Trees in Wireless Networks," in *IEEE INFOCOM 2000*, pages 586-594, Tel Aviv, Israel, 2000.
- [18] P. Gupta and P. R. Kumar. "Critical Power for Asymptotic Connectivity in Wireless Networks," in *Stochastic Analysis, Control, Optimization and Applications*, Birkhauser, 1998.
- [19] P. Gupta and P. R. Kumar, "The Capacity of Wireless Networks", in *IEEE Transactions on Information Theory*, 46(2):388-404, March 2000.
- [20] M. Grossglauser and D. Tse, "Mobility Increases the Capacity of Ad-hoc Wireless Networks." in *IEEE/ACM Transactions on Networking*, vol. 10, no. 4, August, 2002, pp. 477-486.
- [21] J. Li, C. Blake, D. Couto, H. Lee, R. Morris, "Capacity of Ad Hoc wireless networks," in *7th ACM Mobicom*, Rome, September 2001.
- [22] J. Barros, S. D. Servetto. "Reachback Capacity with Non-Interfering Nodes," in *Proc. of the IEEE International Symposium on Information Theory (ISIT)*, Yokohama, Japan, June-July 2003.
- [23] S. D. Servetto. "On the Feasibility of Large-Scale Wireless Sensor Networks," in *Proc. 40th Annual Allerton Conference on Communication, Control, and Computing*, Urbana, IL, October 2002.
- [24] Liang-Liang Xie and P. R. Kumar, "A Network Information Theory for Wireless Communication: Scaling Laws and Optimal Operation," To appear in *IEEE Transactions on Information Theory*.
- [25] C. Intanagonwiwat, R. Govindan and D. Estrin. "Directed Diffusion: A Scalable and Robust Communication Paradigm for Sensor Networks," in *Proc. 6th ACM Mobicom*, Boston, Massachusetts, August 2000.
- [26] H. Zhang, J. Hou. "On Deriving the Upper Bound of Alpha-Lifetime for Large Sensor Networks," in *Proc. of ACM Mobihoc 2004*, June, 2004.