## Solutions for CS174 Homework 3

- 1. (a) Note that here we assume all balls and bins are distinguishable. For each ball, there are n possibilities. So for m balls, there are  $n^m$  distributions.
  - (b) The probability that there are k balls in bin 1 is

$$\binom{m}{k} \frac{1}{n}^k \left(1 - \frac{1}{n}\right)^{m-k}$$

and we simply multiply this probability by the number of distributions  $n^m$  to get

$$\binom{m}{k}(n-1)^{m-k}$$

distributions.

(c) We need inclusion-exclusion here. Let  $E_i$  be the property that bin *i* is empty. Then  $\Pr[E_i] = (1-1/n)^m$ ,  $\Pr[E_i \wedge E_j] = (1-2/n)^m$  etc. and each  $S_k$  in the inclusion/exclusion formula is

$$S_k = \binom{n}{k} (1 - k/n)^m$$

We want exactly two of these events to be true, so the inclusion/exclusion formula is

$$\Pr[\text{two empty bins}] = S_2 - \binom{3}{2}S_3 + \dots + (-1)^k \binom{k}{2}S_k + \dots + (-1)^n \binom{n}{2}S_n$$

and substituting we have

$$\Pr[\text{two empty bins}] = \sum_{k=2}^{n} (-1)^k \binom{k}{2} \binom{n}{k} (1-k/n)^m$$

which rewrites to

$$\Pr[\text{two empty bins}] = \sum_{k=2}^{n} (-1)^k \binom{n}{2, k-2, n-k} (1-k/n)^m$$

using a familar approximation, we can write  $(1 - k/n)^m \approx e^{-mk/n}$  or  $e^{-\lambda k}$  where  $\lambda = m/n$ , and the above becomes:

$$\Pr[\text{two empty bins}] = \sum_{k=2}^{n} (-1)^k \binom{n}{2, k-2, n-k} e^{-\lambda k}$$

which is now part of the expansion of a trinomial (you can prove this by applying binomial expansion twice). The terms correspond to the coefficient of  $x^2$  in the expansion of

$$(-xe^{-\lambda} + 1 - e^{-\lambda})^n$$

which we can write directly as:

$$\binom{n}{2}e^{-2\lambda}(1-e^{-\lambda})^{n-2}$$

finally, we multiply by  $n^m$  to count the number of distributions with this property:

$$n^m \binom{n}{2} e^{-2\lambda} (1 - e^{-\lambda})^{n-2}$$

2. Assume the hash table has size k. From the birthday paradox computation,

 $\Pr[\text{all } k \text{ bins have less than 1 element}] \leq \exp(-n(n-1)/2k).$ 

So when  $\exp(-n(n-1)/2k) < 1/2$ , the hash table has probability at least a half for a collision. So  $k < \frac{n(n-1)}{\ln 2}$ .

3. Let X be the number of heads got after 1000 tosses of a fair coin. So E[X] = 500, Var[X] = 1000 \* 1/2 \* 1/2 = 250.

(a) Using Markov bound,  $\Pr[X > 800] < \frac{E[X]}{800} = \frac{5}{8} = 0.625.$ 

(b) Because the probability that there are more than 800 heads equals to the probability that there are more than 800 tails, so  $\Pr[X > 800] = \Pr[X < 200]$ . Using Chebyshev bound,

$$\Pr[X > 800] = \frac{1}{2} \Pr[|X - 500| > 300] < \frac{1}{2} \cdot \frac{250}{300^2} = \frac{1}{72} \doteq 0.014$$

4. (a) From lecture 5,  $\Pr[X_i \ge 20] \le (\frac{me}{nk})^k \cdot \frac{1}{1 - \frac{me}{nk}} = 1.8 * 10^{-5}$ .  $E[X_i] = \operatorname{Var}[X_i] = \lambda = 4$ . Using Markov bound,  $\Pr[X_i \ge 20] \le \frac{E[X_i]}{20} = 0.2$ . Using Chebyshev bound,  $\Pr[X_i \ge 20] \le \Pr[|X_i - 4| \ge 16] \le \frac{\operatorname{Var}[X_i]}{16^2} = 0.0156$ .

(b) 
$$\Pr[X_i \ge k] \le (\frac{me}{nk})^k \cdot \frac{1}{1-\frac{me}{nk}} = O(k^{-k}).$$
  
Using Markov bound,  $\Pr[X_i \ge k] \le \frac{\mathbb{E}[X_i]}{k} = O(k^{-1}).$   
Using Chebyshev bound,  $\Pr[X_i \ge k] \le \Pr[|X_i - \mathbb{E}[X_i]| \ge k - \mathbb{E}[X_i]] \le \frac{\operatorname{Var}[X_i]}{(k - \mathbb{E}[X_i])^2} = O(k^{-2}).$