CS 288: Statistical NLP
Assignment 3: Word Alignment

Due 3/15/11

In this assignment, you will explore the problem of word alignment, one of the critical steps in machine translation shared by all current statistical machine translation systems.

Setup: The data for this assignment is available on the web page as usual, and consists of sentence-aligned French-English transcripts of the Canadian parliamentary proceedings.

The assignment harness is in the Java class:

```
edu.berkeley.nlp.assignments.assign3.AlignmentTester
```

Make sure you can run the main method of the `AlignmentTester` class. There are a few more options to start out with, specified using command line flags. Start out running:

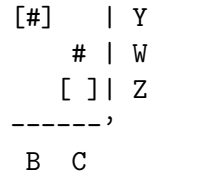
```
java -cp assign3.jar -server -mx500m
  edu.berkeley.nlp.assignments.assign3.AlignmentTester
  -path DATA -alignerType BASELINE -data miniTest -printAlignments
  -justAlign -maxTrain 0
```

You should see a few toy sentence pairs fly by, with baseline (diagonal) alignments. The verbose flag controls whether the alignment matrices are printed. For the miniTest, the baseline isn't so bad. Look in the data directory to see the source miniTest sentences. They are:

```
"English"                    "French"
<s snum=1> A B C </s>        <s snum=1> X Y Z </s>
<s snum=2> A B </s>          <s snum=2> X Y </s>
<s snum=3> B C </s>          <s snum=3> Y W Z </s>
<s snum=4> D F </s>          <s snum=4> U V W </s>


Alignments (snum, e, f, sure/possible) 1 1 1 S     3 1 1 S 1 2 2 S 3 2
3 S 1 3 3 S     4 1 1 S 2 1 1 S     4 2 2 S 2 2 2 S
```

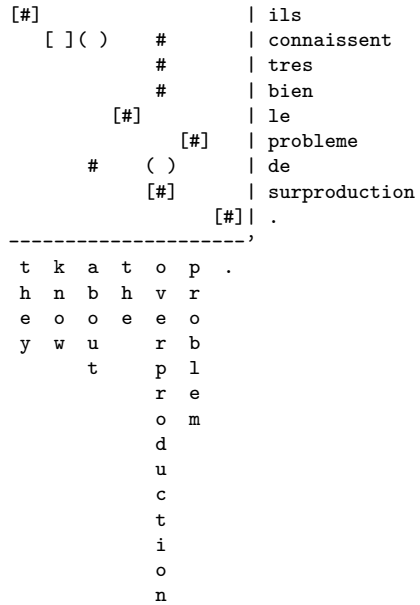
The intuitive alignment is X=A, Y=B, Z=C, U=D, V=F, and W=null (convince yourself of this). The baseline will get most of this set right, missing only the mid-sentence null alignment:



The hashes in the output indicate the proposed alignment pairs, while the brackets indicate reference pairs (parentheses for possible alignment positions). Note that at the end of the test output you get an overall precision (with respect to possible alignments), recall (with respect to sure alignments), and alignment error rate (AER).

You should then try running the code with `-data validate` and `-data test`, which will load the real validation set and test set respectively, and run the baseline on these sentences. Baseline AER on the test set should be 68.7 (lower is better). If you want to learn alignments on more than the test set, as will be necessary to get reasonable performance, you can get an additional `k` training sentences by setting the flag `-maxTrain k`. Maximum values of `k` usable by your code will probably be between 10000 and 100000, depending on how much memory you have, and how efficiently you encode things. (There are over a million sentence pairs there if you want them – to use anywhere near that much, you'll have a machine with large amounts of RAM.)

You'll notice that the code is hardwired to English-French, just as the examples in class were presented. Even if you don't speak any French, there should be enough English cognates that you should still be able to sift usefully through the data. For example, if you see the matrix



you should be able to tell that "problem" got aligned correctly, as did "overproduction," but something went very wrong with the "know about" region.

Of course, the actual word to word alignments aren't the only thing we're interested in. The main use of these alignments are as input to the rule extraction procedure. So in addition to the word alignments themselves, we're also going to look at the phrase tables that are extracted from the alignments. To see this, try running the following command:

```
java -cp assign3.jar -server -mx500m
     edu.berkeley.nlp.assignments.assign3.AlignmentTester
     -path DATA -alignerType BASELINE -data miniTest
     -phraseTableOut phraseTable.txt
     -randomLm -maxTrain 100
```

You should see a brief description of a phrase table being trained, and then several (very bad) translations. The test harness uses the same data as the aligner (the alignment test set, plus however many training sentences you specified with `-maxTrain`) to extract a phrase table, and then uses it to translate the decoding test set. The phrase table that was extracted will be written to `phraseTable.txt`, so you can examine it to see what kinds of errors are resulting from your word alignments. The translations you just saw are bad for two reasons: first, the phrase table is just very small, being trained on a trivial amount of data. Second, even if run on more data, the baseline word aligner is quite bad and will result in a lot of bogus translation rules. Your goal for this assignment will be to write more credible word aligners, being careful to ensure that they are still efficient enough to scale up to more reasonable amounts of training data, as both the quality of the alignments and the number of training sentences are important in improving translation quality (note: you should be sure remove the `-randomLm` option to run real experiments, so that an actual language model is employed).

Description: In this assignment, you will build several word-level alignment systems. As a first step, and to get used to the data and support classes, you should edit `HeuristicAlignerFactory` and build a heuristic replacement for `BaselineWordAligner`. Your first model should not be a probabilistic translation model, but rather should match up words on the basis of some statistical measure of association, using simple statistics taken directly from the training corpora. One common heuristic is to pair each French word f with the English word e for which the ratio $c(f, e)/(c(e) \cdot c(f))$ is greatest. Another is the Dice coefficient, described in several of the readings. Many possibilities exist; play a little and see if you can find reasonable alignments in a heuristic way.

Once you've gotten a handle on the data and code, the first probabilistic model to implement is IBM model 1 (create this aligner with `Model1AlignerFactory`). Recall that in models 1 and 2, the probability of an alignment a for a sentence pair $(\mathbf{f}, \mathbf{e})$ is

$$P(\mathbf{f}, a|\mathbf{e}) = \prod_i P(a_i = j|i, |\mathbf{e}|, |\mathbf{f}|)P(\mathbf{f}_i|\mathbf{e}_j)$$

where the null English word is at position 0 (or -1, or whatever is convenient in your code). The

simplifying assumption in model 1 is that $P(a_i = j|i, |\mathbf{e}|, |\mathbf{f}|) = 1/(|\mathbf{e}| + 1)$. That is, all positions are equally likely. In practice, the null position is often given a different likelihood, say 0.2, which doesn't vary with the length of the sentence, and the remaining 0.8 is split evenly amongst the other locations.

The iterative EM update for this model is very simple and intuitive. For every pair of an English word type e and a French word type f, you count up the (fractional) number of times tokens f are aligned to tokens of e and normalize over values of e (the math is in lecture slides in more detail). That will give you a new estimate of the translation probabilities $P(f|e)$, which leads to new alignment posteriors, and so on. For the `miniTest`, your model 1 should learn most of the correct translations, including aligning W with null. However, it will be confused by the DF / UV block, putting each of U and V with each of D and F with equal likelihood (probably resulting in a single error, depending on how ties are resolved).

Look at the alignments produced on the real validation or test sets with your model 1. You can improve performance by training on additional sentences as mentioned above. However, even if you do, you will still see many alignments which have errors sprayed all over the matrices. To fix these errors, you need to introduce a real *distortion* model that can learn that alignments tend to clump together, with adjacent English words usually aligning to adjacent French words. In model 1, the distortion model was trivial, setting $P(a_i = j|i, |\mathbf{e}|, |\mathbf{f}|)$ to a fixed constant. For your next aligner, you should implement `HmmAlignerFactory`, building the HMM model from Vogel, Ney and Tillmann, "HMM-based word alignment in statistical translation," COLING 1996, where this distortion component is replaced by $P(a_i = j|i, a_{i-1}, |\mathbf{e}|, |\mathbf{f}|)$. As suggested by the name, this alignment model permits a_i to depend on the previously chosen word alignment, a_{i-1}. One common parameterization is to set $d = j - a_{i-1}$, and learn a value for each value of d, but other options exist, such as bucketing distances and learning more general distributions.

Again, to make this work well, one generally needs to treat the null alignment as a special case, giving a constant chance for a null alignment (independent of position), and leaving the other positions distributed as above. How you bucket those displacements is up to you; there are many choices and most will give broadly similar behavior. If you run your HMM model on the miniTest, it should get them all right (you may need to fiddle with your null probabilities). How you parameterize the alignment prior is up to you.

Everyone should now have at least three systems, a non-iterative surface-statistics method, an implementation of model 1, and an implementation of the HMM. At this point, you should do another piece of investigation (`AwesomeAlignerFactory`), but the field is wide open. Some options:

- Extending the previous suggestion, you can gain further improvements by training your two models to agree, as in Liang, Taskar, and Klein, "Alignment by Agreement", NAACL 2006.
- Implement the competitive linking algorithm from I. Dan Melamed, "Models of Translational Equivalence among Words," Computational Linguistics 2000. There's an even better version that uses maximum matchings.
- Scale your system up to a large amount of data (defined as, say, running on at least 100K

training sentences).

- Substantial data analysis about the error trends (you should do some basic discussion of errors in any case).
- Implement a discriminative approach to alignment, e.g. "A Discriminative Matching Approach to Word Alignment," Taskar, Lacoste-Julien, and Klein, EMNLP 2005.
- Invent a probabilistic phrase-based alignment heuristic or model to align multi-word units in some way.

Using some extra sentences and the HMM or better, you should be able to get your best AER down below 40% very easily and below 25% fairly easily, but getting it much below 15% will require some work (5% is possible!). For reference, our implementation of intersected[1] Model 1 achieves a test-set AER of 23% when trained with 10000 sentences, while our intersected HMM implementation achieves 13%. As for BLEU scores, they will be low for this assignment, unless you scale up to large amounts of data. Our implementation of intersected Model 1 achieves a BLEU of 15.6 when trained on 10000 sentences and 21.7 when trained on 100000. Our implementation of the intersected HMM Model achieves 18.2 and 23.3 respectively.

Grading: We will check that both the AER and BLEU scores that your MODEL1 and HMM aligners achieve are in the same ballpark as our reference implementation on 10000 training sentences. We are not too concerned with the performance of your heuristic aligner – we just want to see that you thought about the problem and designed something reasonable. Your AWESOME aligner will be evaluated primarily from your write-up. As always, the best submissions will be those that perform thoughtful error analysis and/or describe interesting extensions to the basic aligners in this assignment.

Although there no hard requirements on training time, due to limited compute resources for autograding, we will expect your aligners to take no more than one hour to train with `-maxTrain 10000`.

Submission: You will submit assign3-submit.jar to the online system. We will run the following command to sanity check your assignment, with TYPE $\in$ {HEURISTIC, MODEL1, HMM, AWESEOME}:

```
java -cp assign3.jar:assign3-submit.jar -server -mx50m
     edu.berkeley.nlp.assignments.assign3.AlignmentTester
     -path DATA -alignerType TYPE -sanityCheck
```

Please ensure that these commands return successfully before submitting your jar.

[1] By "intersected", we mean that a model was trained independently in each direction, and the resulting aligments were intersected in post-processing.