# Lecture 11: <br> Cache Conclusion and I/O Introduction: Storage Devices, Metrics, \& Productivity 

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## Review: IRAM Challenges

- Chip
- Speed, area, power, yield of logic in DRAM process?
- Speed, area, power, yield of SRAM in DRAM process?
- Good performance and reasonable power?
- BW/Latency oriented DRAM tradeoffs?
- Architecture
- How to turn high memory bandwidth into performance?
" Vector?
" Extensive Prefetching?
- Extensible IRAM: Large pgm/data solution?
- Redudancy in processor to match redundancy in DRAM?


## Review: Doing Research in the Information Age

- Online at UCB
- Finding articles
» INSPECT database
» COMP database
- Printing IEEE articles
- Finding Books: MELVYL and GLADIS
- WWW Search Engines
- Alta Vista, HotBot, Yahoo!
- Computer Architecture Resources
- Architecture Homepage, Benchmark Database...


## Cache Cross Cutting Issues

- Superscalar CPU \& Number Cache Ports
- Speculative Execution and non-faulting option on memory
- Parallel Execution vs. Cache locality
- Want far separation to find independent operations vs. want reuse of data accesses to avoid misses
- I/O and consistency of data between cache and memory
- Caches => multiple copies of data
- Consistency by HW or by SW?
- Where connect I/O to computer?


## Alpha 21064

- Separate Instr \& Data TLB \& Caches
- TLBs fully associative
- TLB updates in SW ("Priv Arch Libr")
- Caches 8KB direct mapped, write thru
- Critical 8 bytes first
- Prefetch instr. stream buffer
- 2 MB L2 cache, direct mapped, WB (off-chip)
- 256 bit path to main memory, 4 x 64-bit modules
- Victim Buffer: to give read priority over write
- 4 entry write buffer between D\$ \& L2\$



## Alpha Memory Performance: Miss Rates of SPEC92



## Alpha CPI Components

- Instruction stall: branch mispredict; Other: compute + reg conflicts, structural conflicts



## Pitfall: Predicting Cache Performance from Different Prog. (ISA, compiler, ...)

- 4KB Data cache miss rate 8\%,12\%, or $28 \%$ ?
- 1KB Instr cache miss rate 0\%,3\%, or $10 \%$ ?
- Alpha vs. MIPS for 8KB Data:
17\% vs. 10\%



## Pitfall: Simulating Too Small an Address Trace



## Motivation: Who Cares About I/O?

- CPU Performance: 50\% to 100\% per year
- Multiprocessor supercomputers 150\% per year
- I/O system performance limited by mechanical delays
< 10\% per year (IO per sec or MB per sec)
- Amdahl's Law: system speed-up limited by the slowest part!
10\% IO \& 10x CPU => 5x Performance (lose 50\%)
10\% IO \& 100x CPU => 10x Performance (lose 90\%)
- I/O bottleneck:

Diminishing fraction of time in CPU
Diminishing value of faster CPUs

## Storage System Issues

- Historical Context of Storage I/O
- Secondary and Tertiary Storage Devices
- Storage I/O Performance Measures
- A Little Queuing Theory
- Processor Interface Issues
- I/O Buses
- Redundant Arrarys of Inexpensive Disks (RAID)
- ABCs of UNIX File Systems
- I/O Benchmarks
- Comparing UNIX File System Performance


## I/O Systems



Time (workload) $=$ Time(CPU) + Time(I/O) - Time(Overlap)


## Technology Trends




CPU Performance

- Mini:

40\% increase
per year

- RISC:

100\% increase
per year

DRAM Capacity doubles every 3 years

## Technology Trends



Disk Capacity doubles every 3 years

- Today: Processing Power Doubles Every 18 months
- Today: Memory Size Doubles Every 18 months(?)

The I/O GAP

- Today: Disk Capacity Doubles Every 18 months
- Disk Positioning Rate (Seek + Rotate) Doubles Every Ten Years!


## Storage Technology Drivers

- Driven by the prevailing computing paradigm
- 1950s: migration from batch to on-line processing
- 1990s: migration to ubiquitous computing
" computers in phones, books, cars, video cameras, ...
" nationwide fiber optical network with wireless tails
- Effects on storage industry:
- Embedded storage
" smaller, cheaper, more reliable, lower power
- Data utilities
» high capacity, hierarchically managed storage


## Historical Perspectives

- 1956 IBM Ramac - early 1970s Winchester
- Developed for mainframe computers
" proprietary interfaces
- Steady shrink in form factor: 27 in. to 14 in.
" driven by performance demands
higher rotation rate
more actuators in the machine room


## Historical Perspective

- 1970s developments
- 5.25 inch floppy disk formfactor
" download microcode into mainframe
- semiconductor memory and microprocessors
- early emergence of industry standard disk interfaces
" ST506, SASI, SMD, ESDI


## Historical Perspective

- Early 1980s
- PCs and first generation workstations
- Mid 1980s
- Client/server computing
- Centralized storage on file server
» accelerates disk downsizing
» 8 inch to 5.25 inch
- Mass market disk drives become a reality
" industry standards: SCSI, IPI, IDE
» 5.25 inch drives for standalone PCs
" End of proprietary disk interfaces


## Historical Perspective

- Late 1980s/Early 1990s:
- Laptops, notebooks, palmtops
- 3.5 inch, 2.5 inch, 1.8 inch formfactors
- Formfactor plus capacity drives market, not performance
- Challenged by DRAM, flash RAM in PCMCIA cards
» still expensive, Intel promises but doesn't deliver
" unattractive MBytes per cubic inch
- Optical disk fails on performace (e.g., NEXT) but finds niche (CD ROM)


## Historical Perspective



## Historical Perspectives


1.5 MBytes Disk per person on the earth sold in 1992
0.1 MBytes Memory per person on the earth sold in 1992

## CS 252 Administrivia

- Midterm Quiz Wednesday October 8 5:45-8:45 PM in 306 Soda
- 2 sheets with notes
- Chapters 4, 5, and Ap B + Lectures
- Answer questions during lecture time Wednesday
- Pizza at LaVal's after quiz; how many?
- 8 minute project meetings for Friday October 4 (11-12:30, 2:10-3:10) in 635 Soda
- Email URL of initial project home page to TA


## Alternative Data Storage Technologies

| Technology | Cap <br> (MB) | BPI | TPI | BPI* <br> (Milli | Data (KBy | er Access <br> ) Time |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Conventional Tape: |  |  |  |  |  |  |
| Cartridge (.25') | 150 | 12000 | 104 | 1.2 | 92 | minutes |
| IBM 3490 (.5") | 800 | 22860 | 38 | 0.9 | 3000 | seconds |
| Helical Scan Tape: |  |  |  |  |  |  |
| Video (8mm) | 4600 | 43200 | 1638 | 71 | 492 | 45 secs |
| DAT (4mm) | 1300 | 61000 | 1870 | 114 | 183 | 20 secs |
| D-3 (1/2') | 20,000 |  |  |  |  | 15 secs? |

Magnetic \& Optical Disk:

| Hard Disk (5.25") 1200 | 33528 | 1880 | 63 | 3000 | 18 ms |
| :--- | :--- | :--- | :--- | :--- | :--- |
| IBM 3390 (10.5") 3800 | 27940 | 2235 | 62 | 4250 | 20 ms |
|  |  |  |  |  |  |
| Sony MO (5.25") 640 | 24130 | 18796 | 454 | 88 | 100 ms DAP.F96 23 |

## Devices: Magnetic Disks

- Purpose:
- Long-term, nonvolatile storage
- Large, inexpensive, slow level in the storage hierarchy
- Characteristics:
- Seek Time ( $\sim 15 \mathrm{~ms}$ avg, 1M cyc at 50 MHz )
" positional latency
" rotational latency
- Transfer rate
- About a sector per ms (1-10 MB/s)
- Blocks
- Capacity
- Gigabytes


3600 RPM $=60$ RPS $=>16 \mathrm{~ms}$ per rev ave rot. latency $=8 \mathrm{~ms}$
32 sectors per track $=>0.5 \mathrm{~ms}$ per sector
1 KB per sector => $2 \mathrm{MB} / \mathrm{s}$
32 KB per track
20 tracks per cyl => 640 KB per cyl $2000 \mathrm{cyl}=>1.2 \mathrm{~GB}$

Response time
= Queue + Controller + Seek + Rot + Xfer
Service time

- Quadruples every 3 years (aerodynamics)


## Disk Device Terminology



Disk Latency = Queuing Time + Seek Time + Rotation Time + Xfer Time Order of magnitude times for 4K byte transfers:

Seek: 12 ms or less
Rotate: 4.2 ms @ 7200 rpm ( 8.3 ms @ 3600 rpm )
Xfer: 1 ms @ 7200 rpm (2 ms @ 3600 rpm)

## Advantages of Small Formfactor Disk Drives



Low cost/MB High MB/volume High MB/watt Low cost/Actuator

Cost and Environmental Efficiencies


## Tape vs. Disk

- Longitudinal tape uses same technology as hard disk; tracks its density improvements
- Inherent cost-performance based on geometries: fixed rotating platters with gaps
(random access, limited area, 1 media / reader)
VS.
removable long strips wound on spool
(sequential access, "unlimited" length, multiple / reader)
- New technology trend:

Helical Scan (VCR, Camcoder, DAT)
Spins head at angle to tape to improve density

## Example: R-DAT Technology

Rotating (vs. Stationary) head Digital Audio Tape

- Highest areal recording density commercially available
- High density due to:
- high coercivity metal tape
- helical scan recording method
- narrow, gapless (overlapping) recording tracks
- 10X improvement capacity \& xfer rate by 1999
- faster tape and drum speeds
- greater track overlap


## R-DAT Technology



Four Head Recording


Helical Recording Scheme

Tracks Recorded $\pm 20^{\circ} \mathbf{w} / \mathrm{o}$ guard band
Read After Write Verify

## Optical Disk vs. Tape

|  | Optical Disk | Helical Scan Tape |
| :---: | :---: | :---: |
| Type | $5.25{ }^{\prime \prime}$ | 8mm |
| Capacity | 0.75 GB | 5 GB |
| Media Cost | \$90-\$175 | \$8 |
| Drive Cost | \$3,000 | \$3,000 |
| Access | Write Once | Read/Write |
| Robot Time | 10-20 s | 10-20 s |

Media cost ratio optical disk vs. helical tape

$$
=75: 1 \text { to } 150: 1
$$

## Current Drawbacks to Tape

- Tape wear out:
- Helical 100s of passes to 1000s for longitudinal
- Head wear out:
- 2000 hours for helical
- Both must be accounted for in economic / reliability model
- Long rewind, eject, load, spin-up times; not inherent, just no need in marketplace (so far)


## Automated Cartridge System


$6000 \times 0.8$ GB 3490 tapes $=5$ TBytes in 1992 \$500,000 O.E.M. Price
$6000 \times 20$ GB D3 tapes $=120$ TBytes in 1994
1 Petabyte (1024 TBytes) in 2000

## Relative Cost of Storage Technology—Late 1995/Early 1996

Magnetic Disks

| 5.25" | 9.1 GB | $\$ 2129$ | $\$ 0.23 / \mathrm{MB}$ |
| :--- | :--- | :--- | :--- |
|  |  | $\$ 1985$ | $\$ 0.22 / \mathrm{MB}$ |
| $3.5^{\prime \prime}$ | 4.3 GB | $\$ 1199$ | $\$ 0.27 / \mathrm{MB}$ |
|  |  | $\$ 999$ | $\$ 0.23 / \mathrm{MB}$ |
| $2.5 "$ | 514 MB | $\$ 299$ | $\$ 0.58 / \mathrm{MB}$ |
|  | 1.1 GB | $\$ 345$ | $\$ 0.33 / \mathrm{MB}$ |

Optical Disks

| $5.25 "$ | 4.6 GB | $\$ 1695+199$ | $\$ 0.41 / \mathrm{MB}$ |
| :--- | :--- | :--- | :--- |
|  |  | $\$ 1499+189$ | $\$ 0.39 / \mathrm{MB}$ |

PCMCIA Cards

| Static RAM | 4.0 MB | $\$ 700$ | $\$ 175 / \mathrm{MB}$ |
| :--- | :--- | :--- | :--- |
| Flash RAM | 40.0 MB | $\$ 1300$ | $\$ 32 / \mathrm{MB}$ |
|  | 175 MB | $\$ 3600$ | $\$ 20.50 / \mathrm{MB}$ DAP.F96 33 |

## 5 minute Class Break

- Lecture Format:
$-\quad \approx 1$ minute: review last time \& motivate this lecture
$-\approx 20$ minute lecture
- $\approx 3$ minutes: discuss class manangement
- $\approx 25$ minutes: lecture
- 5 minutes: break
- $\approx 25$ minutes: lecture
- $\approx 1$ minute: summary of today's important topics


## Disk I/O Performance

## Metrics: Response Time Throughput




Response time = Queue + Device Service time

## Response Time vs. Productivity

- Interactive environments:

Each interaction or transaction has 3 parts:

- Entry Time: time for user to enter command
- System Response Time: time between user entry \& system replies
- Think Time: Time from response until user begins next command
1st transaction

- What happens to transaction time as shrink system response time from 1.0 sec to 0.3 sec ?
- With Keyboard: 4.0 sec entry, 9.4 sec think time
- With Graphics: 0.25 sec entry, 1.6 sec think time


## Response Time \& Productivity



Time

- 0.7 sec off response saves $4.9 \mathrm{sec}(34 \%)$ and 2.0 sec (70\%) total time per transaction => greater productivity
- Another study: everyone gets more done with faster response, but novice with fast response = expert with slow


## Disk Time Example

- Disk Parameters:
- Transfer size is 8 K bytes
- Advertised average seek is 12 ms
- Disk spins at 7200 RPM
- Transfer rate is $4 \mathrm{MB} / \mathbf{s e c}$
- Controller overhead is $2 \mathbf{~ m s}$
- Assume that disk is idle so no queuing delay
- What is Average Disk Access Time for a Sector?
- Ave seek + ave rot delay + transfer time + controller overhead
$-12 \mathrm{~ms}+0.5 /(7200 \mathrm{RPM} / 60)+8 \mathrm{~KB} / 4 \mathrm{MB} / \mathrm{s}+2 \mathrm{~ms}$
$-12+4.15+2+2=20 \mathrm{~ms}$
- Advertised seek time assumes no locality: typically 1/4 to $1 / 3$ advertised seek time: $\mathbf{2 0} \mathbf{~ m s ~ = > ~} 12$ ms


# INtroduction To Queueing Theory 



- More interested in long term, steady state than in startup => Arrivals = Departures
- Little's Law: Mean number tasks in system = arrival rate $x$ mean reponse time
- Applies to any system in equilibrium, as long as nothing in black box is creating or destroying tasks


## A Little Queuing Theory: Litttle's Theorem <br> Queue



- Queuing models assume state of equilibrium: input rate = output rate
- Notation:
$r \quad$ average number of arriving customers/second
$T_{s} \quad$ average time to service a customer ( $\mu=1 / T_{s}$ )
$u \quad$ server utilization (0..1): $u=r x T_{s}$
$T_{w} \quad$ average time/customer in waiting line
$T_{q}$ average time/customer in queue: $T_{q}=T_{w}+T_{s}$
$L_{w} \quad$ average length of waiting line: $L_{w}=r x T_{w}$
$L_{q} \quad$ average length of queue: $L_{q}=r x T_{q}$
- Little's Law: $L_{q}=r x T_{q}$

Mean number customers = arrival rate x mean service time

## A Little Queuing Theory

Queue


- Service time completions vs. waiting time for a busy server when randomly arriving event joins a waiting line of arbitrary length when server is busy, otherwise serviced immediately
- A single server queue: combination of a servicing facility that accomodates 1 customer at a time (server) + waiting area (waiting line): together called a queue
- Server spends a variable amount of time with customers; how do you characterize variability?
- Distribution of a random variable: histogram? curve?


## A Little Queuing Theory

Queue


- Server spends a variable amount of time with customers
- Weighted mean m1 = (f1 x T1 + f2 x T2 +... fn x Tn)/F (F=f1 + f2...)
- variance $=\left(\mathrm{f} 1 \times \mathrm{T} 1^{2}+\mathrm{f} 2 \times \mathrm{T}^{2}+\ldots+\mathrm{fn} \times \mathrm{Tn}^{2}\right) / \mathrm{F}-\mathrm{m} 1^{2}$
" Changes depending on unit of measure ( 100 ms vs. 0.1 s )
- Squared coefficient of variance: $C=$ variance $/ m 1^{2}$
- Exponential distribution $C=1$ : most short relative to average, few others long; $90 \%$ < $2.3 \times$ average, $63 \%$ < average
- Hypoexponential distribution $C<1$ : most close to average, $\mathrm{C}=0.5=>90 \%<2.0 \times$ average, only $57 \%$ < average
- Hyperexponential distribution $C>1$ : further from average $\mathrm{C}=2.0=>90 \%<2.8 \mathrm{x}$ average, $69 \%$ average


## A Little Queuing Theory: Variable Service Time

Queue



- Server spends a variable amount of time with customers
- Weighted mean $m 1=(f 1 \times T 1+f 2 \times T 2+\ldots+\mathrm{fnXTn}) / \mathrm{F}(\mathrm{F}=\mathrm{f} 1+\mathrm{f} 2+\ldots$ )
- Squared coefficient of variance $C$
- Disk response times $C \approx 1.5$ (majority seeks < average)
- Yet usually pick $C=1.0$ for simplicity
- Another useful value is average time must wait for server to complete task: m1(z)
- Not just $\mathbf{1 / 2} \mathbf{x ~ m 1 ~ b e c a u s e ~ d o e s n ' t ~ c a p t u r e ~ v a r i a n c e ~}$
- Can derive m1(z) = $1 / 2 \times m 1 \times(1+C)$
- No variance $=>$ C= $0=>m 1(z)=1 / 2 x$ m1


## A Little Queuing Theory: Average Wait Time

- Calculating average wait time $T_{w}$
- If something at server, it takes to complete on average m1(z)
- Chance server is busy $=u$; average delay is $u \times m 1(z)$
- All customers in line must complete; each avg $T_{s}$

$$
\begin{aligned}
& T_{w}=u \times m 1(z)+L_{w} \times T_{s}=1 / 2 \times u \times T_{s} \times(1+C)+\underline{L}_{\underline{w}} \times T_{s} \\
& T_{w}=1 / 2 \times u \times T_{s} \times(1+C)+\underline{r} \times T_{w} \times \underline{I}_{s} \\
& T_{w}=1 / 2 \times u \times T_{s} \times(1+C)+\underline{u} \times T_{w} \\
& T_{w} \times(1-u)=T_{s} \times u \times(1+C) / 2 \underline{1} \\
& T_{w}=T_{s} \times u \times(1+C) /(2 \times(1-u))
\end{aligned}
$$

- Notation:
$r$ average number of arriving customers/second
$T_{s} \quad$ average time to service a customer
$u \quad$ server utilization (0..1): $u=r \times T_{s}$
$T_{w} \quad$ average time/customer in waiting line
$L_{w} \quad$ average length of waiting line: $L_{w}=r \times T_{w}$


## A Little Queuing Theory: M/G/1 and M/M/1

- Assumptions so far:
- System in equilibrium
- Time between two successive arrivals in line are random
- Server can start on next customer immediately after prior finishes
- No limit to the waiting line: works First-In-First-Out
- Afterward, all customers in line must complete; each avg $T_{s}$
- Described "memoryless" Markovian request arrival (M for $\mathrm{C}=1$ exponentially random), General service distribution (no restrictions), 1 server: M/G/1 queue
- When Service times have $\mathrm{C}=1, \mathrm{M} / \mathrm{M} / 1$ queue $T_{w}=T_{s} \times u \times(1+C) /(2 \times(1-u))=T_{s} \times u /(1-u)$
$T_{s} \quad$ average time to service a customer
$u \quad$ server utilization (0..1): $u=r \times T_{s}$
$T_{w}$ average time/customer in waiting line
- Note distinction between waiting time and queue delay ${ }^{\text {APF }}{ }^{45}$


## A Little Queuing Theory: An Example

- Suppose processor sends $10 \times 8 \mathrm{~KB}$ disk l/Os per second, requests exponentially distrib., disk service time $=20 \mathrm{~ms}$
- On average, how utilized is the disk?
- What is the number of requests in the waiting line?
- What is the average time spent in the waiting line?
- What is the average response time for a disk request?
- Notation:
${ }^{r}$ average number of arriving customers/second $=10$
$T_{s} \quad$ average time to service a customer $=20 \mathrm{~ms}$
$u \quad$ server utilization ( $0 . .1$ ): $u=r \times T_{s}=10 / \mathrm{s} \times .02 \mathrm{~s}=0.2$
$T_{w} \quad$ average time/customer in waiting line $=T_{s} x \quad u /(1-u)$

$$
=20 \times 0.2 /(1-0.2)=20 \times 0.25=5 \mathrm{~ms}
$$

$T_{q}$ average time/customer in queue: $T_{q}=T_{w}+T_{s}=25 \mathrm{~ms}$
$L_{w} \quad$ average length of waiting line: $L_{w}=r \times T_{w}$

$$
=10 / \mathrm{s} \times .005 \mathrm{~s}=0.05 \text { requests in wait line }
$$

$L_{q} \quad$ average length of "queue": $L_{q}=r \times T_{q}=10 / \mathbf{s} \times .025 \mathrm{~s}=0.25$

## A Little Queuing Theory: Another Example

- Suppose processor sends $20 \times 8 \mathrm{~KB}$ disk I/Os per sec, requests exponentially distrib., disk service time $=12 \mathrm{~ms}$
- On average, how utilized is the disk?
- What is the number of requests in the waiting line?
- What is the average time a spent in the waiting line?
- What is the average response time for a disk request?
- Notation:
$r \quad$ average number of arriving customers/second= 20
$T_{s}$ average time to service a customer= 12 ms
$u$ server utilization ( $0 . .1$ ): $u=r \times T_{s}=20 / \mathrm{s} \times .012 \mathrm{~s}=0.24$
$T_{w} \quad$ average time/customer in waiting line $=T_{s} x \quad u /(1-u)$

$$
=12 \times 0.24 /(1-0.24)=12 \times 0.32=3.8 \mathrm{~ms}
$$

$T_{q}$ average time/customer in queue: $T_{q}=T_{w}+T_{s}=16 \mathrm{~ms}$
$L_{w} \quad$ average length of waiting line: $L_{w}=r \times T_{w}$
$=20 / \mathrm{s} \times .0038 \mathrm{~s}=0.016$ requests in wait line
$L_{q} \quad$ average length of "queue": $L_{q}=r \times T_{q}=20 / \mathbf{s} \times .016 \mathrm{~s}=0.32$

## A Little Queuing Theory: Yet Another Example

- Suppose processor sends $10 \times 8 \mathrm{~KB}$ disk I/Os per second, req. squared coef. var. $=1.5$, disk service time $=20 \mathrm{~ms}$
- On average, how utilized is the disk?
- What is the number of requests in the waiting line?
- What is the average time a spent in the waiting line?
- What is the average response time for a disk request?
- Notation:
$r$ average number of arriving customers/second= 10
$T_{s}$ average time to service a customer= 20 ms
$u \quad$ server utilization ( $0 . .1$ ): $u=r \times T_{s}=10 / \mathrm{s} \times .02 \mathrm{~s}=0.2$
$T_{w} \quad$ average time/customer in waiting line $=T_{s} \times u \times(1+C) /(2 \times(1-u))$

$$
=20 \times 0.2(2.5) / 2(1-0.2)=20 \times 0.32=6.25 \mathrm{~ms}
$$

$T_{q}$ average time/customer in queue: $T_{q}=T_{w}+T_{s}=26 \mathrm{~ms}$
$L_{w} \quad$ average length of waiting line: $L_{w}=r \times T_{w}$
$=10 / \mathrm{s} \times .006 \mathrm{~s}=0.06$ requests in wait line
$L_{q} \quad$ average length of "queue": $L_{q}=r \times T_{q}=10 / \mathrm{s} \times .026 \mathrm{~s}=0.26$

## Summary: Storage System Issues

- Historical Context of Storage I/O
- Secondary and Tertiary Storage Devices
- Storage I/O Performance Measures
- A Little Queuing Theory
- Processor Interface Issues
- I/O Buses
- Redundant Arrarys of Inexpensive Disks (RAID)
- ABCs of UNIX File Systems
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